

# Distribution, Inference, and Event Structure

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Aaron Steven White  
*University of Rochester*  
*Department of Linguistics*

Stanford University  
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Slides available at [aaronstevenwhite.io](http://aaronstevenwhite.io)

Data available at  $\left\{ \begin{array}{l} \text{megaattitude.io} \\ \text{decomp.io} \end{array} \right.$

# Collaborators



**Kyle Rawlins**

*Johns Hopkins University*

*Department of Cognitive Science*



**Ben Van Durme**

*Johns Hopkins University*

*Department of Computer Science*



# Introduction

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# Overarching question

How are a verb's **semantic properties** related to its **syntactic distribution**? Gruber 1965; Fillmore 1970; Zwicky 1971; Jackendoff 1972;

Grimshaw 1979, 1990; Pesetsky 1982, 1991; Pinker 1989; Levin 1993

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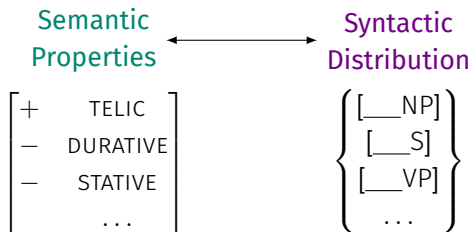
## Semantic Properties

+	TELIC
—	DURATIVE
—	STATIVE
	...

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# What could matter?

Factors claimed to affect the distribution of **nominals**

Sensitive to event structural properties like **stativity**, **telicity**, **durativity**, **causativity**, **transfer**, etc. (see Levin and Rappaport Hovav 2005)

# What could matter?

## Factors claimed to affect the distribution of **nominals**

Sensitive to event structural properties like **stativity**, **telicity**, **durativity**, **causativity**, **transfer**, etc. (see Levin and Rappaport Hovav 2005)

## Factors claimed to affect the distribution of **clauses**

Sensitive to 'content-dependent' properties like **representationality**, **preferentiality**, **factivity/veridicality**, **communicativity**, etc. Bolinger 1968; Hintikka 1975; Hooper 1975; Stalnaker 1984; Farkas 1985; Villalta 2000, 2008; Kratzer 2006; Egré 2008; Scheffler 2009; Moulton 2009; Anand and Hacquard 2013; Rawlins 2013; Portner and Rubinstein 2013; Anand and Hacquard 2014; Spector and Egré 2015; Bogal-Allbritten 2016; Theiler et al. 2017

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(White and Rawlins, 2017, 2018)

## Intuition

Predicates that take clauses characterize neo-Davidsonian eventualities, like any other verb. (Kratzer 2006; Hacquard 2006; Moulton 2009; Anand and Hacquard 2013, 2014; Rawlins 2013; Bogal-Allbritten 2016; White and Rawlins 2016b a.o.)

## Question

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# Case study

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How direct is the relationship between **content-dependent properties** and **syntactic distribution**?

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Two content-dependent properties – **factivity** and **veridicality** – that are argued to determine **selection of interrogatives & declaratives**

## Claim

There is **no direct relationship** between **factivity** and **veridicality** (*qua* semantic properties) and **syntactic distribution**

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There is **no direct relationship** between **factivity** and **veridicality** (*qua* semantic properties) and **syntactic distribution**

The relationship is mediated by **event structural properties**.

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# Outline

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Predicting responsivity from veridicality

- Measuring syntactic distribution

- Measuring veridicality inferences

- Predicting responsivity



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- Expanded measure of veridicality

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- Case study: decision predicates

  - Interpretation of embedded questions

  - Data and proposal

  - Implementation

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Conclusion

## Veridicality and distribution

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# Veridicality and factivity

## Veridicality

A verb  $v$  is **veridical** iff  $\text{NP } v \text{ } S \text{ entails } S$  Karttunen 1971a; Egré 2008; Karttunen 2012; Spector and Egré 2015 a.o.

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- (2) a. Jo didn't **know** that Bo was alive → Bo was alive  
b. Jo didn't **prove** that Bo was alive ↗ Bo was alive

# Veridicality/factivity and responsivity

## Responsivity (Lahiri, 2002)

A verb is **responsive** iff it takes interrogatives and declaratives see also Karttunen 1977b,a; Groenendijk and Stokhof 1984 *et seq*

- (3) a. Jo **knew** **that** Bo was alive.  
b. Jo **knew** **whether** Bo was alive.

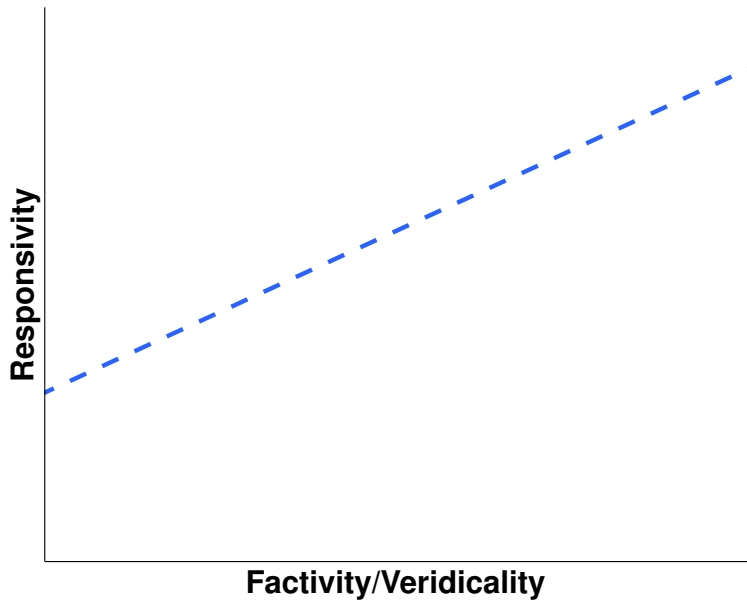
## Generalization

A verb is **responsive** iff {**factive** (Hintikka, 1975) / **veridical** (Egré, 2008)}

see also George 2011; Uegaki 2012, 2015; cf. Beck and Rullmann 1999; Spector and Egré 2015

- (4) a. Jo **knew** {**that**, **whether**} Bo was alive.  
b. Jo **thought** {**that**, **\*whether**} Bo was alive.

## Predicted correlation



## Measurement of syntactic distribution

MegaAcceptability dataset (White and Rawlins, 2016a)

# Testing correlation

## **Measurement of syntactic distribution**

MegaAcceptability dataset (White and Rawlins, 2016a)

## **Measurement of veridicality**

MegaVeridicality dataset (White and Rawlins, 2018)

## Predicting responsivity from veridicality

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Ordinal (1-7 scale) acceptability ratings



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*for*  
1000 clause-embedding verbs

## Verb selection

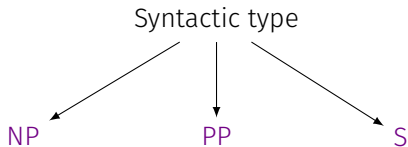


Ordinal (1-7 scale) acceptability ratings  
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×  
50 syntactic frames

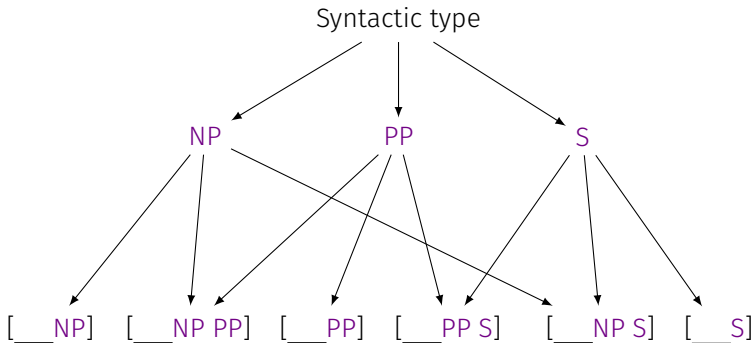
## Challenge

Automate construction of a very large set of frames in a way that is sufficiently general to many verbs

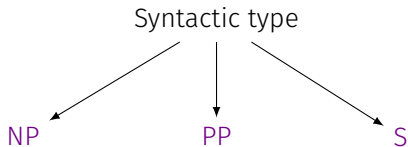
# Frame construction



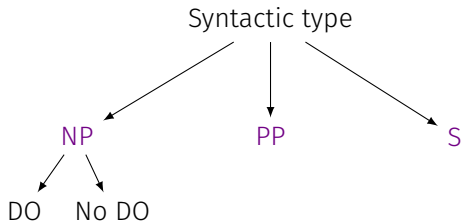
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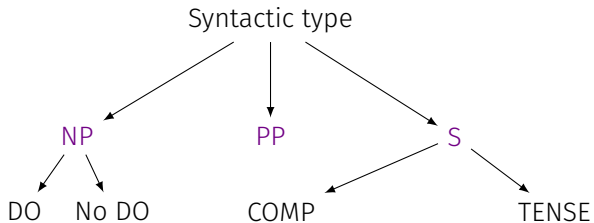


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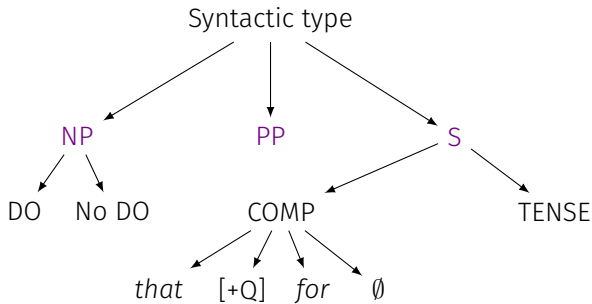




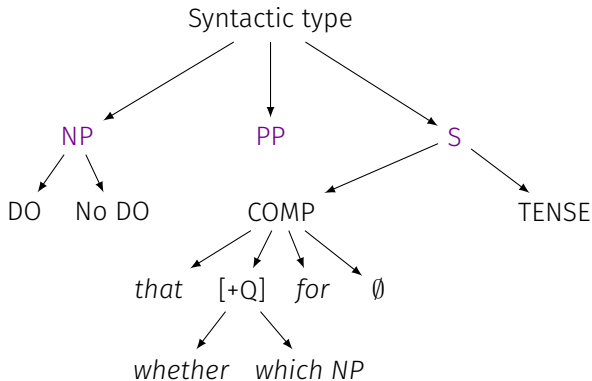
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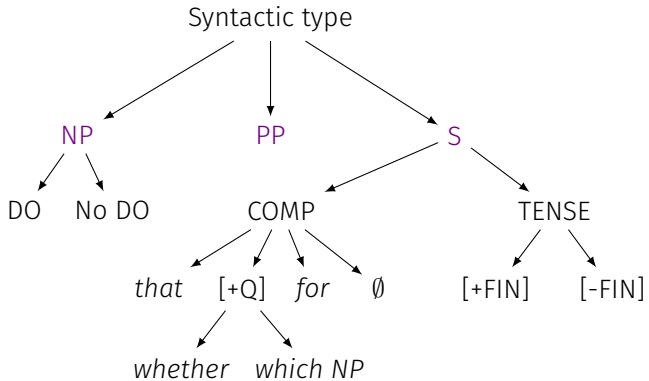
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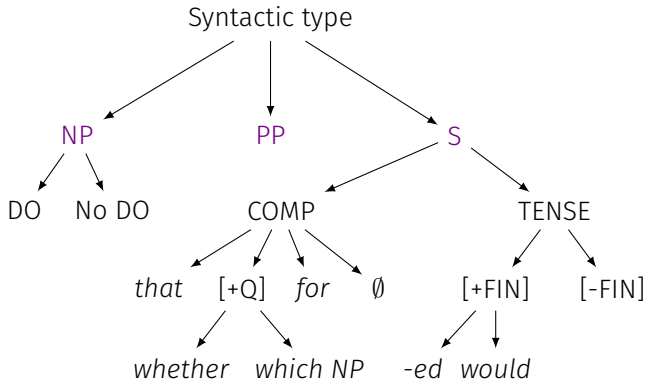
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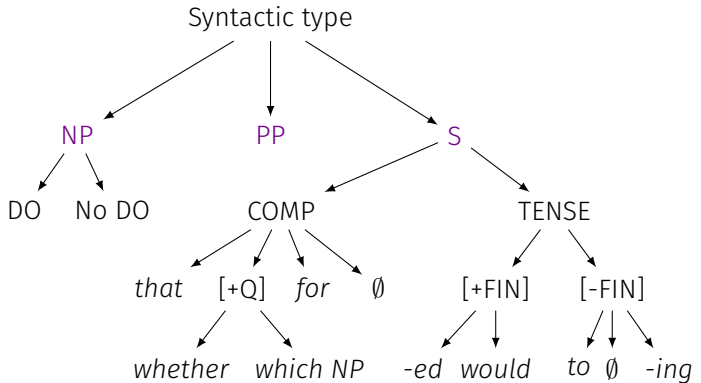
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# Sentence construction

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Someone knew {that, whether} something happened.



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Someone told someone {that, whether} something happened.

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- 5 judgments per item
  - No annotator sees the same sentence more than once

# Task

Sentence Acceptability Task (expert annotation)

Requester: JHU Semantics Lab  
Qualifications Required: None

Reward: \$0.00 per HIT

HITs Available: 20

Duration: 14 weeks 2 days

1. Someone needed whether something happened.

1 2 3 4 5 6 7  
☐ ☐ ☐ ☐ ☐ ☐ ☐

2. Someone hated which thing to do.

1 2 3 4 5 6 7  
☐ ☐ ☐ ☐ ☐ ☐ ☐

3. Someone was worried about something.

1 2 3 4 5 6 7  
☐ ☐ ☐ ☐ ☐ ☐ ☐

4. Someone allowed someone do something.

1 2 3 4 5 6 7  
☐ ☐ ☐ ☐ ☐ ☐ ☐

Turktools (Erlewine and Kotek, 2015)

## Interannotator agreement

Spearman rank correlation calculated by list on a pilot 30 verbs

# Validating the data

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## Pilot verb selection

Same verbs used by White (2015); White et al. (2015), selected based on Hacquard and Wellwood's (2012) attitude verb classification

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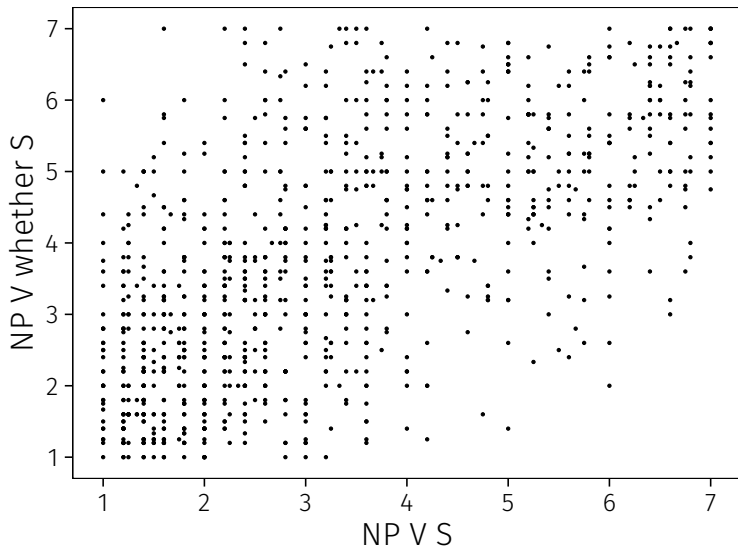
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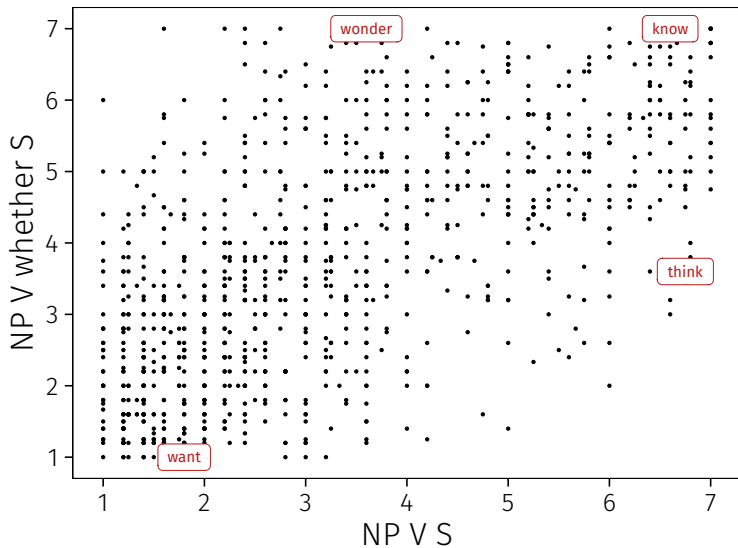
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1. **Linguist-to-linguist**  
*median: 0.70, 95% CI: [0.62, 0.78]*
2. **Linguist-to-annotator**  
*median: 0.55, 95% CI: [0.52, 0.58]*
3. **Annotator-to-annotator**  
*median: 0.56, 95% CI: [0.53, 0.59]*

# Results



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## Question

Did you really need to go to all this trouble to collect acceptability judgments? Couldn't you just get it from frequency distributions?

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## Answer 2

Practically no. At least not without a model that's effectively equivalent to whatever the learner uses.

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Parsed ukWaC (PukWaC) (Baroni et al., 2009)

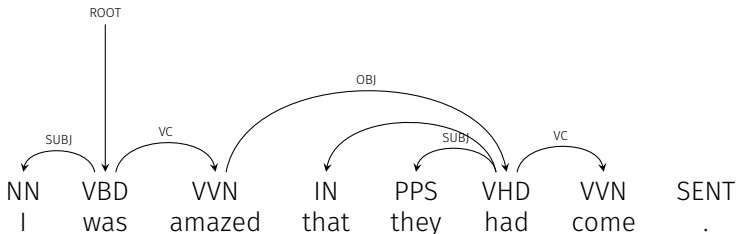
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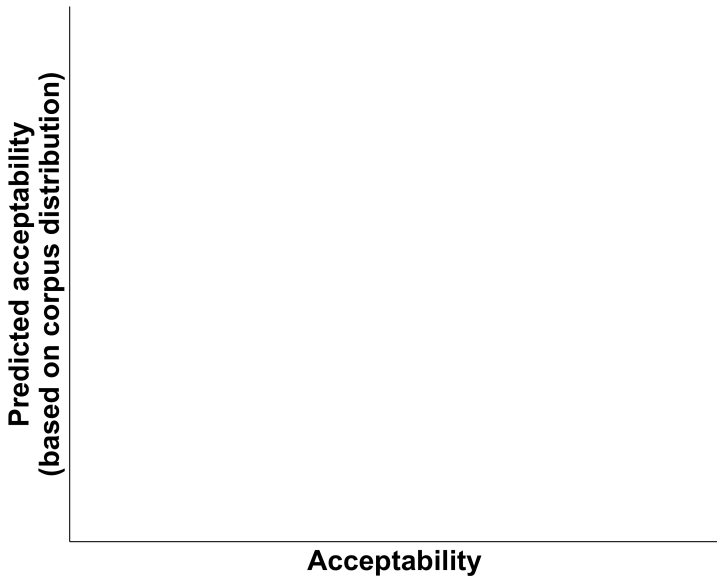
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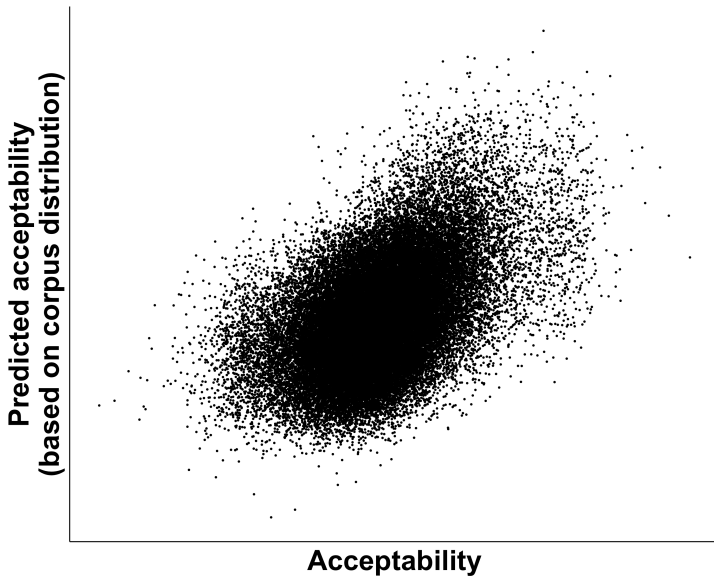
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## Acceptability v. PukWaC corpus counts

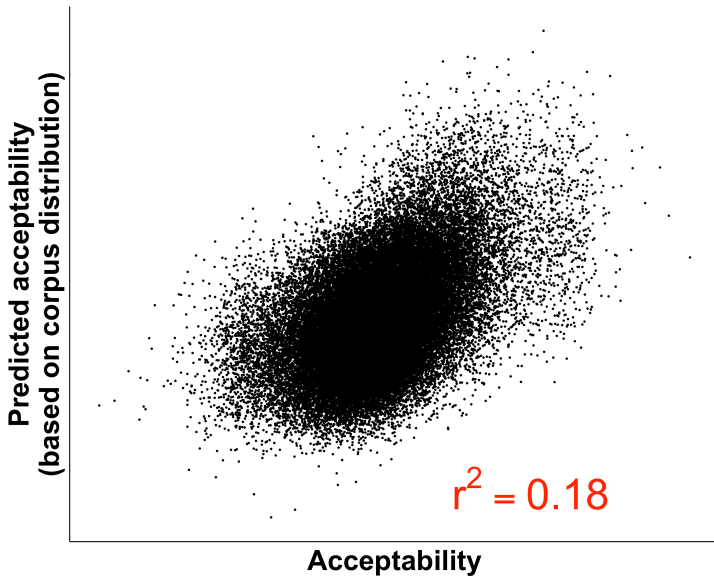




## Acceptability v. PukWaC corpus counts



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## Question

Is this due to noisy parsing and extraction?

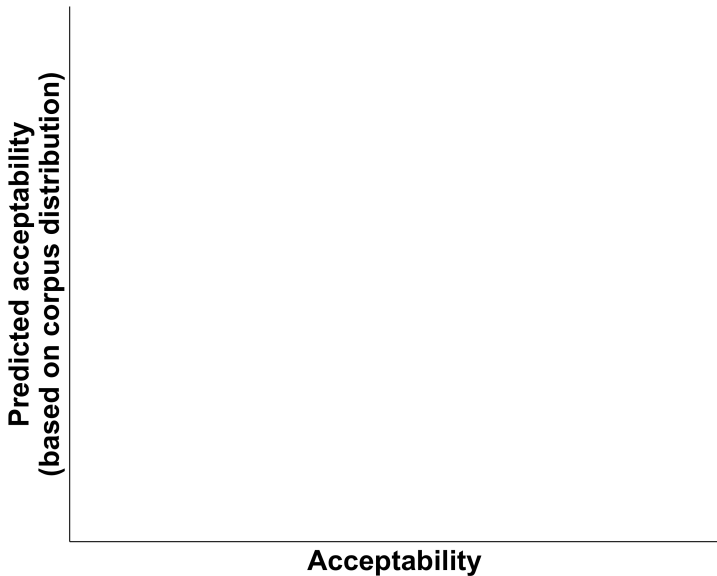
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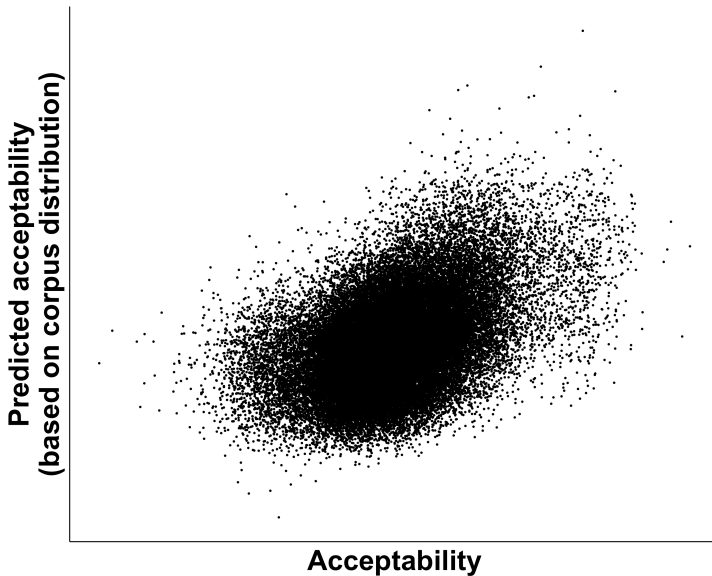
## Question

Probably not; purportedly very clean (but smaller) frequency datasets like VALEX (Korhonen et al., 2006) actually have slightly worse cross-validated  $r^2$

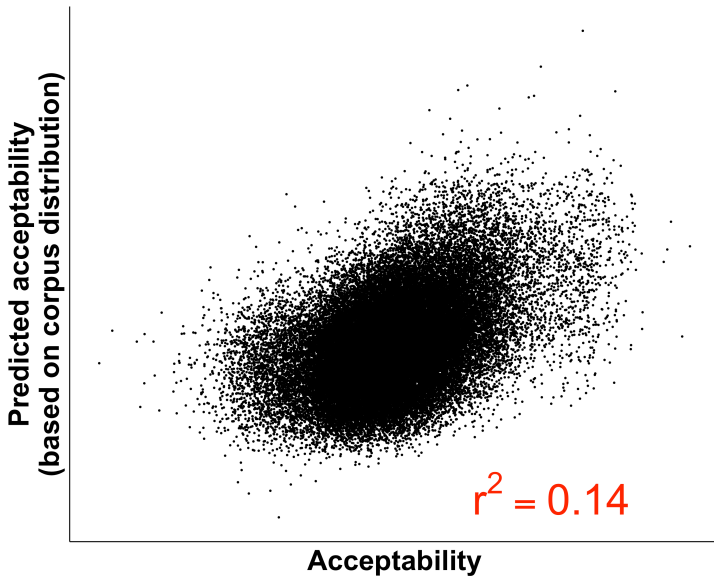
## Acceptability v. VALEX corpus counts



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# Predicting acceptability

## Note #1

Does not imply that frequency and acceptability unrelated



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Acceptability is derived in part from frequency data

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Frequency and acceptability are likely not related at the level of syntactic structure

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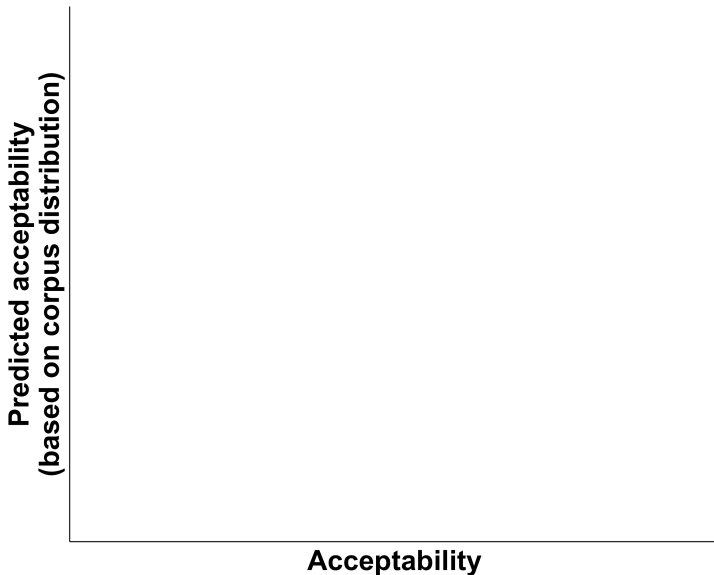
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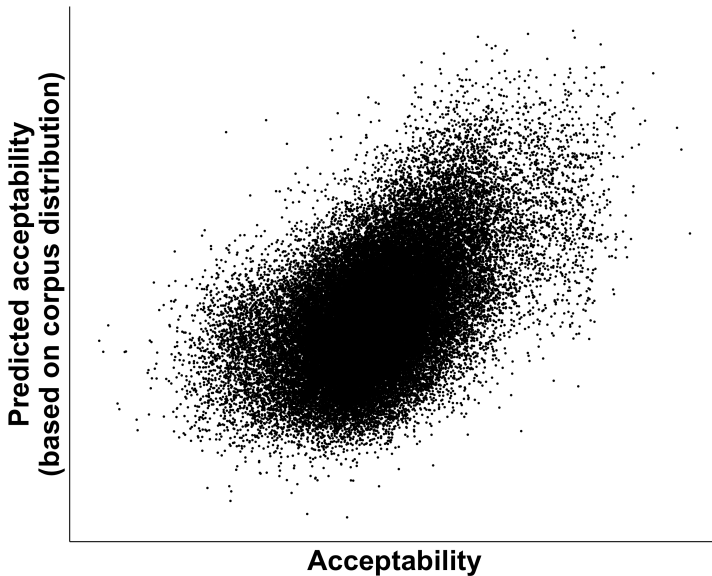
## Solution

We likely need some sort of abstraction that clears away noise

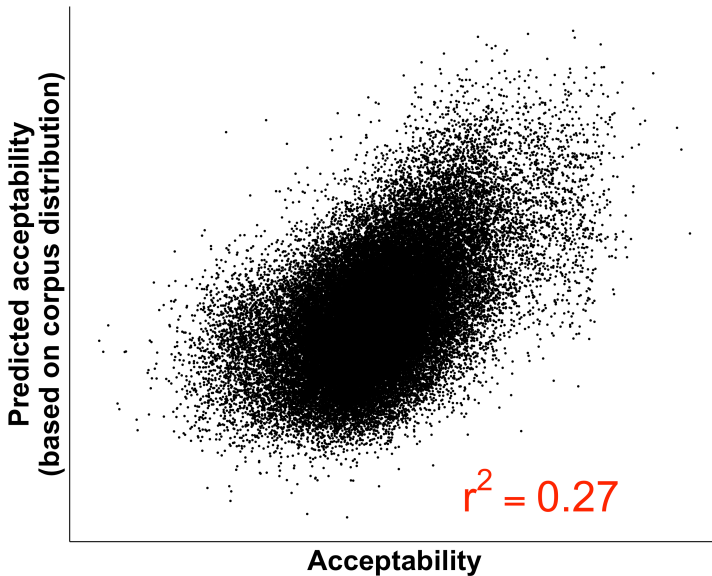
# Acceptability v. corpus-based type signatures



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## **Measurement of syntactic distribution**

MegaAcceptability dataset (White and Rawlins, 2016a)

## **Measurement of veridicality**

MegaVeridicality dataset (White and Rawlins, 2018)

...you will be given a statement and a question related to that statement. Your task will be to respond *yes*, *maybe* or *maybe not*, or *no* to the question, assuming that the statement is true. (cf. Karttunen et al., 2014)



**61.** Someone knew that a particular thing happened.

*Did that thing happen?*

no	maybe or maybe not	yes
<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

*How acceptable is the **bolded** sentence?*

terrible	2	3	4	5	6	perfect
<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

**68.** Someone didn't know that a particular thing happened.

*Did that thing happen?*

no	maybe or maybe not	yes
<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

*How acceptable is the **bolded** sentence?*

terrible	2	3	4	5	6	perfect
<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

517 verbs from the MegaAttitude based on their acceptability in the [NP \_ that S] and [NP was \_ed that S] frames

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**517 verbs** from the MegaAttitude based on their acceptability in the [NP \_ that S] and [NP was \_ed that S] frames

- 348 verbs only in the active frame

**517 verbs** from the MegaAttitude based on their acceptability in the [NP \_ that S] and [NP was \_ed that S] frames

- 348 verbs only in the active frame
- 142 only in the passive frame

**517 verbs** from the MegaAttitude based on their acceptability in the [NP \_ that S] and [NP was \_ed that S] frames

- 348 verbs only in the active frame
- 142 only in the passive frame
- 27 in both

**517 verbs** from the MegaAttitude based on their acceptability in the [NP \_ that S] and [NP was \_ed that S] frames

- 348 verbs only in the active frame
- 142 only in the passive frame
- 27 in both

1,088 items randomly partitioned into 16 lists of 68



## Active

- (6) a. Someone thought that a particular thing happened.
- b. Someone didn't think that a particular thing happened.

## Active

- (6) a. Someone thought that a particular thing happened.  
b. Someone didn't think that a particular thing happened.

## Passive

- (7) a. Someone was told that a particular thing happened.  
b. Someone wasn't told that a particular thing happened.

## Active

- (6) a. Someone thought that a particular thing happened.
- b. Someone didn't think that a particular thing happened.

## Passive

- (7) a. Someone was told that a particular thing happened.
  - b. Someone wasn't told that a particular thing happened.
- 
- (8) a. Someone was bothered that a particular thing happened.
  - b. Someone wasn't bothered that a particular thing happened.

# Participants

160 unique participants through Amazon's Mechanical Turk

# Participants

**160 unique participants** through Amazon's Mechanical Turk

- 10 ratings per item...

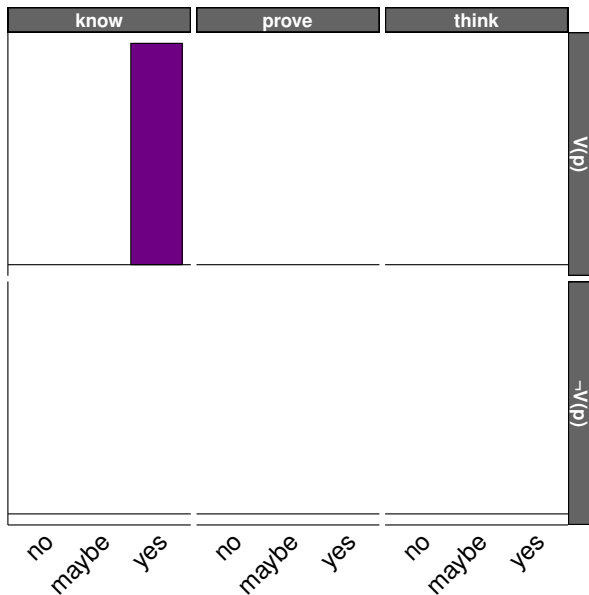
**160 unique participants** through Amazon's Mechanical Turk

- 10 ratings per item...
- ...given by 10 different participants

## Raw responses

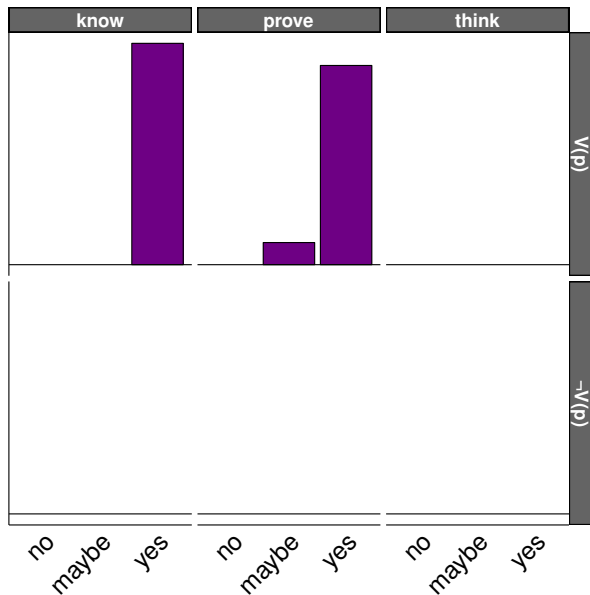
	know	prove	think	
$V(p)$				
$\neg V(p)$				
	no maybe yes	no maybe yes	no maybe yes	

# Raw responses

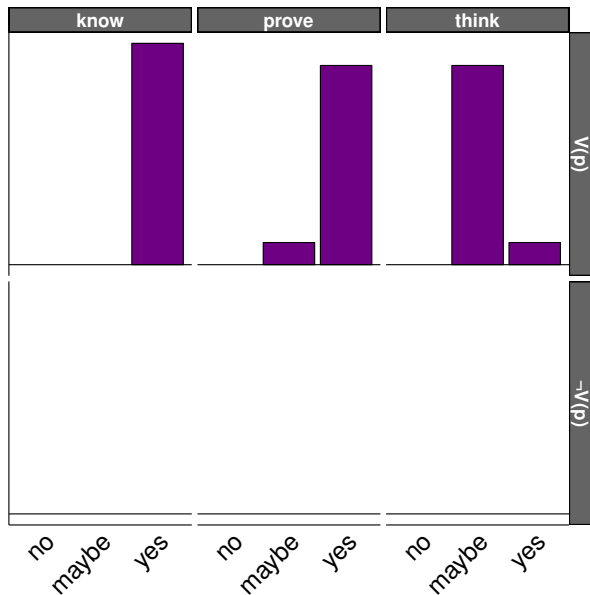




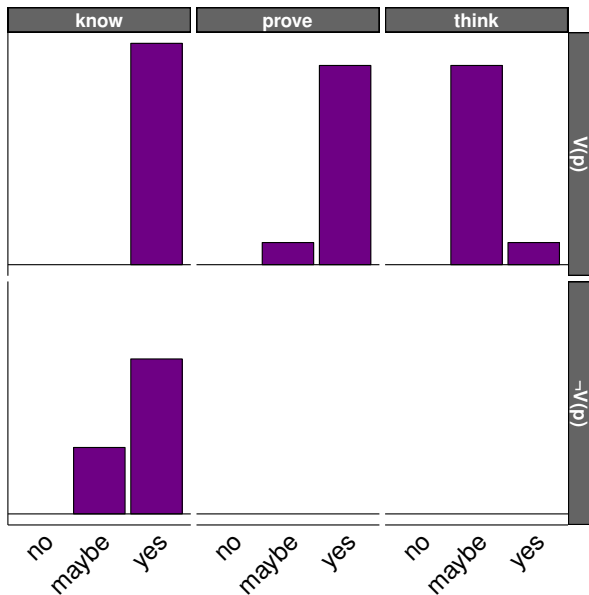
# Raw responses



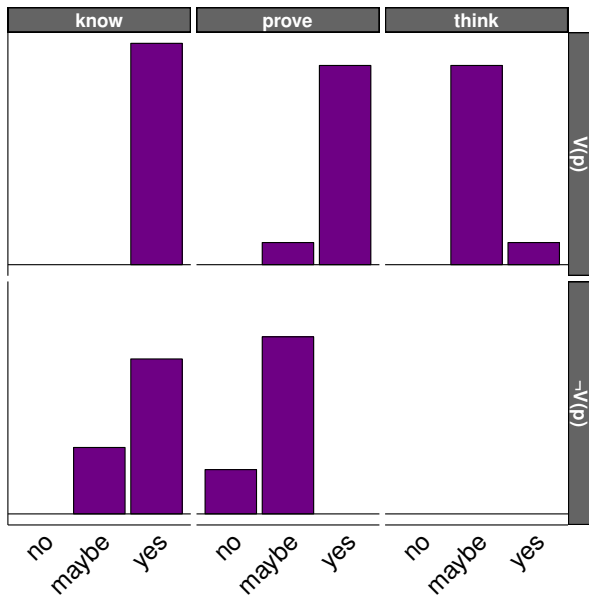
# Raw responses



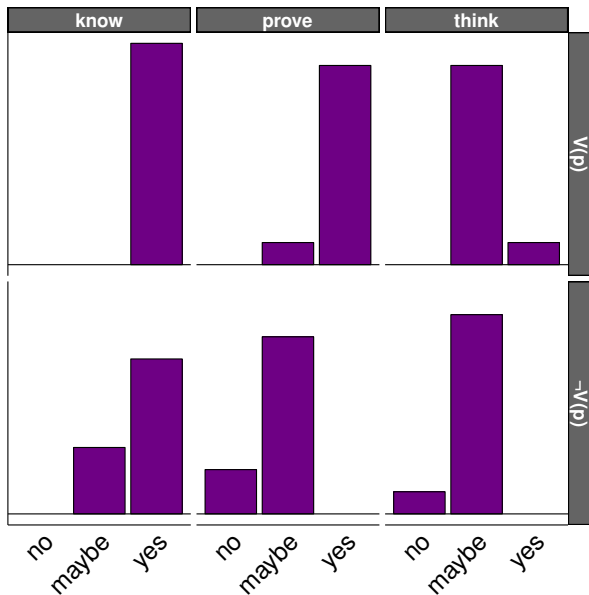
# Raw responses



# Raw responses



# Raw responses

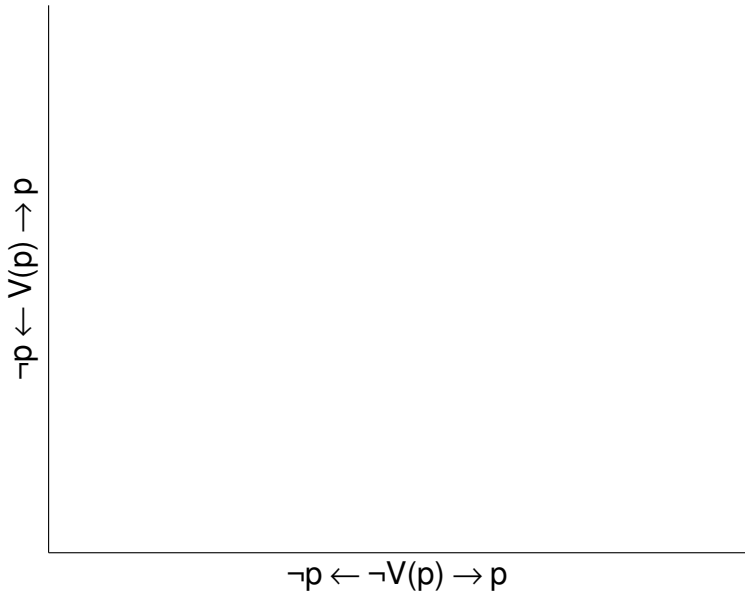




## Transformation (roughly)

Map each verb to single two-dimensional point by assigning -1 to *no*, 0 to *maybe*, and 1 to *yes*, then take the mean.

## Normalized responses





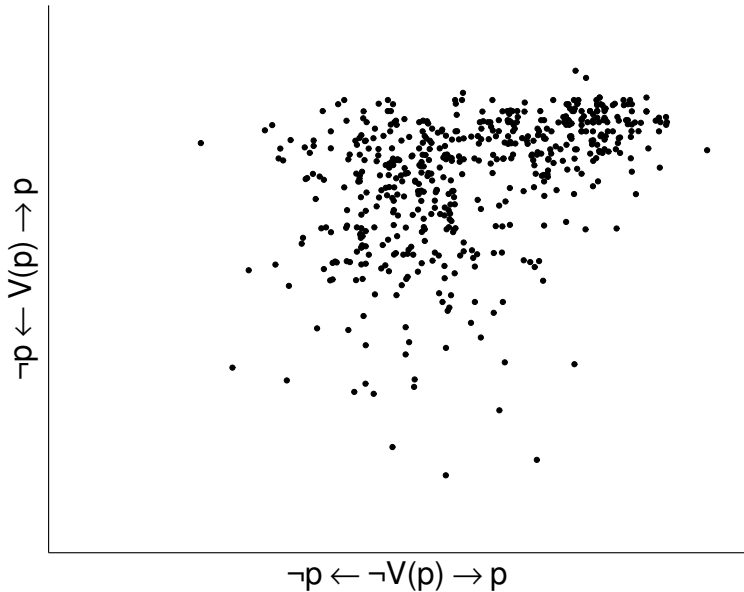
## Transformation (roughly)

Map each verb to single two-dimensional point by assigning -1 to *no*, 0 to *maybe*, and 1 to *yes*, then take the mean.

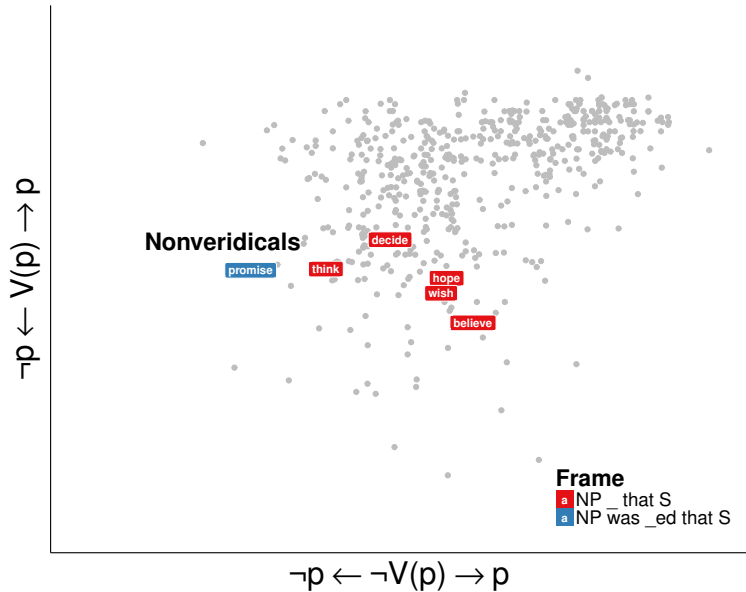
## Normalize

Use riddit scoring to normalize for how often a particular participant gives a particular response.

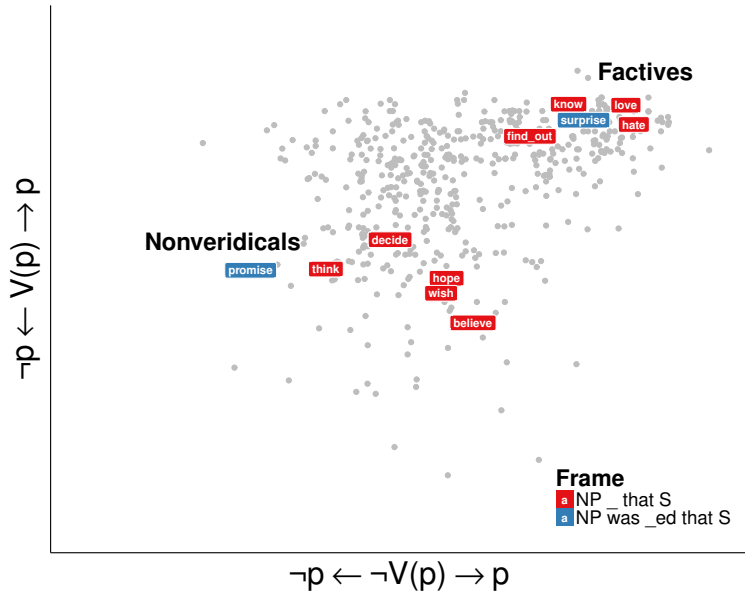
# Normalized responses



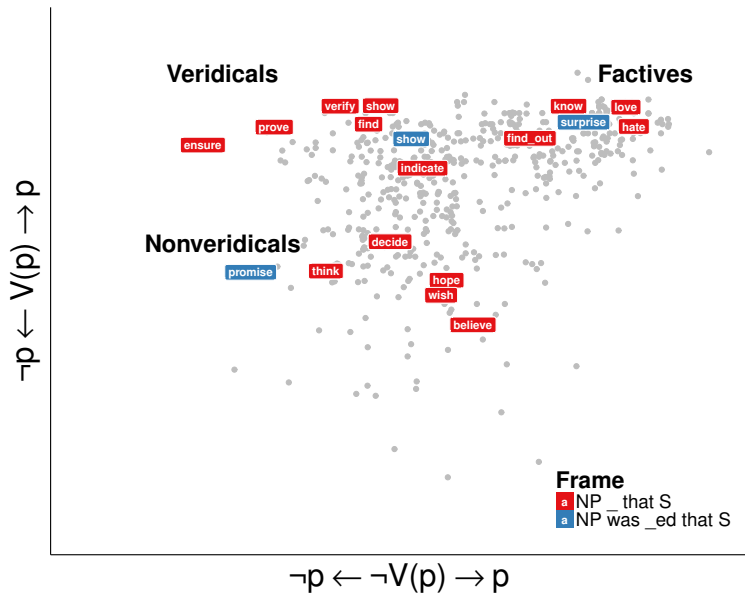
# Normalized responses



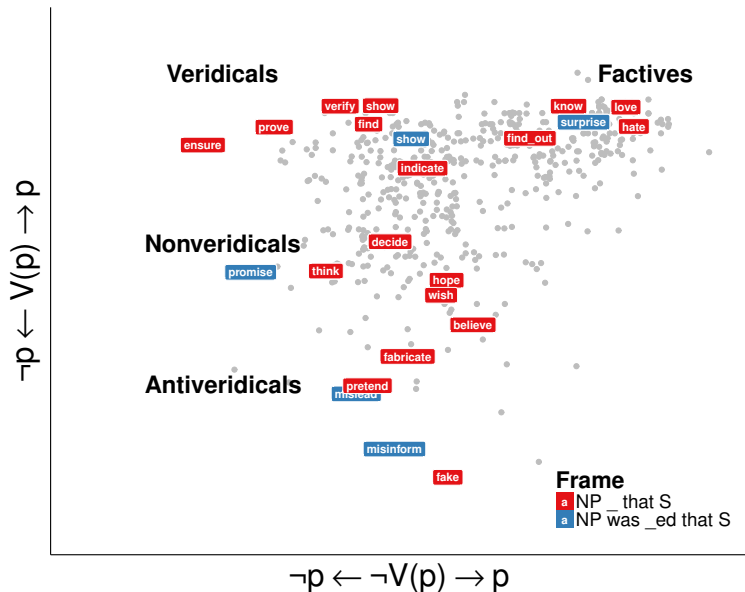
# Normalized responses



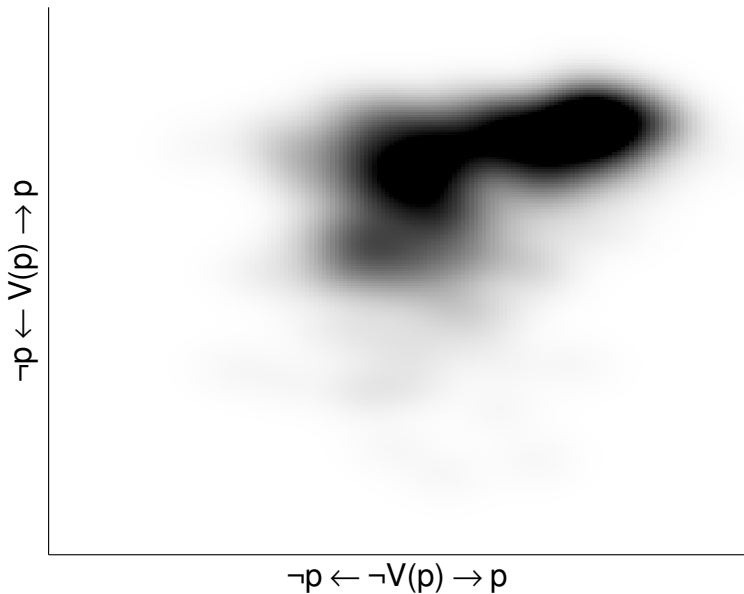
# Normalized responses



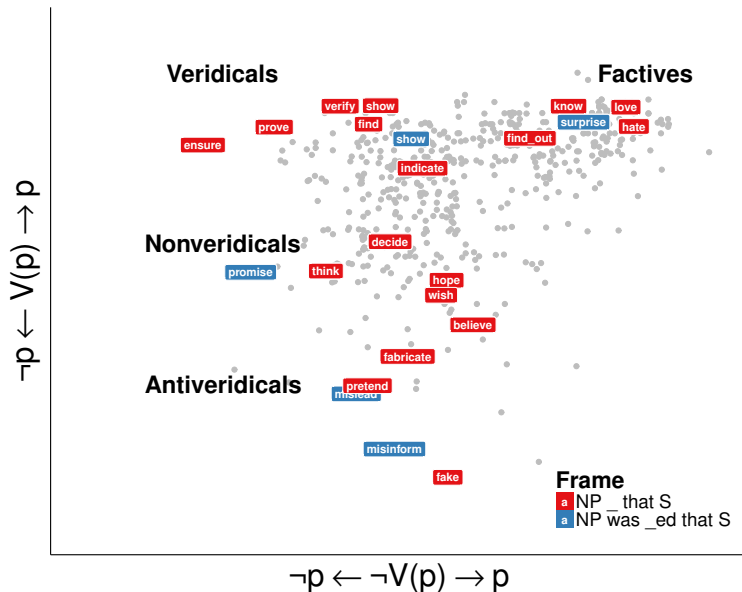
# Normalized responses



## Normalized responses



# Normalized responses

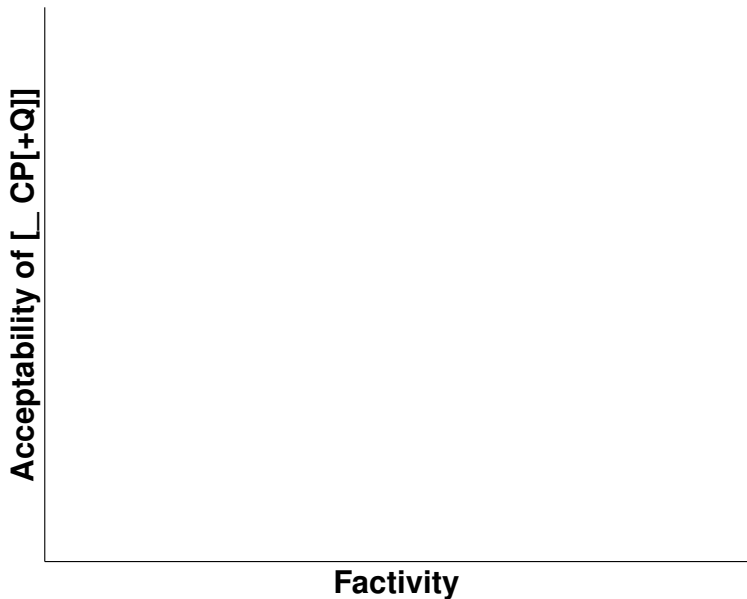




## Question

Do factivity/veridicality positively correlate with question-taking?

## Correlation: factivity and question-taking



## Acceptability of [\_\_\_CP[+Q]]

For a particular verb, maximum acceptability over all frames that contain an interrogative complement.

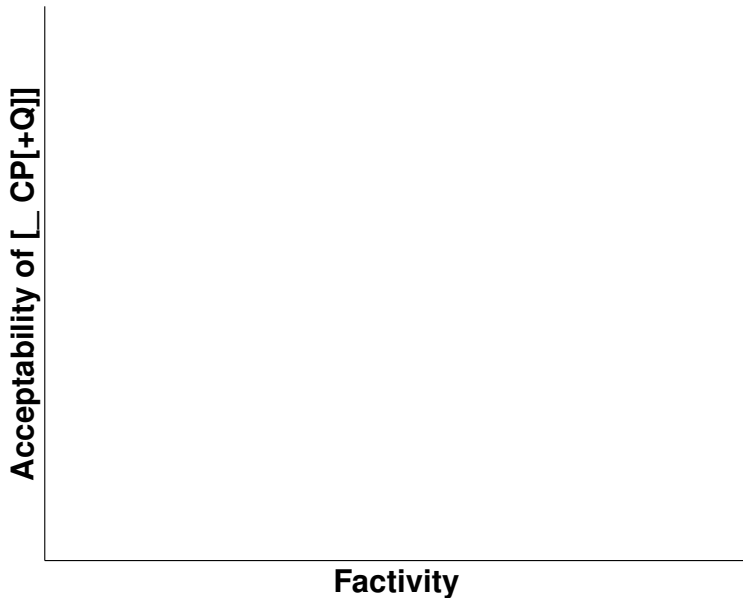
## Acceptability of [\_\_CP[+Q]]

For a particular verb, maximum acceptability over all frames that contain an interrogative complement.

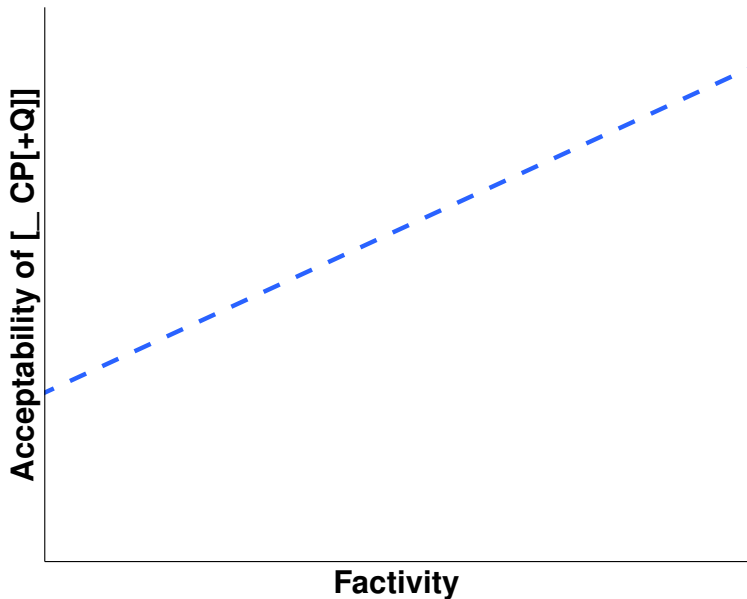
## Intuition

If a verb is acceptable in some frame that contains an interrogative complement, it is acceptable with interrogatives.

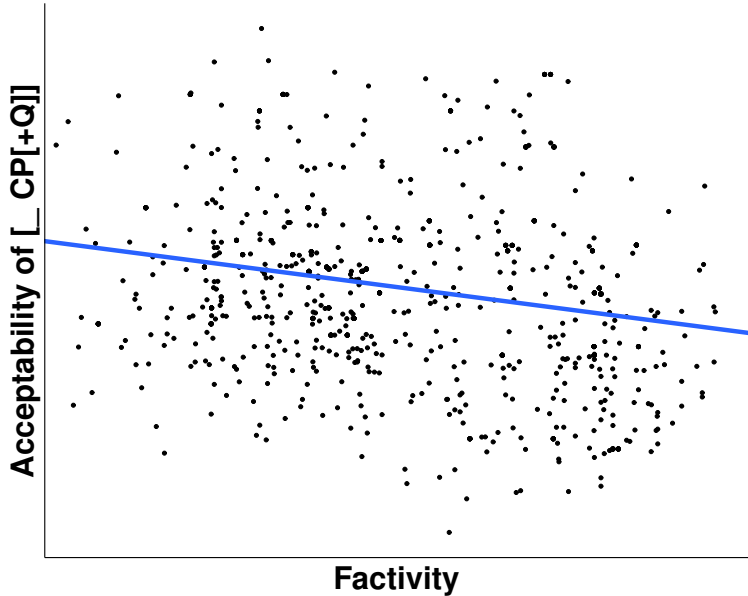
## Correlation: factivity and question-taking



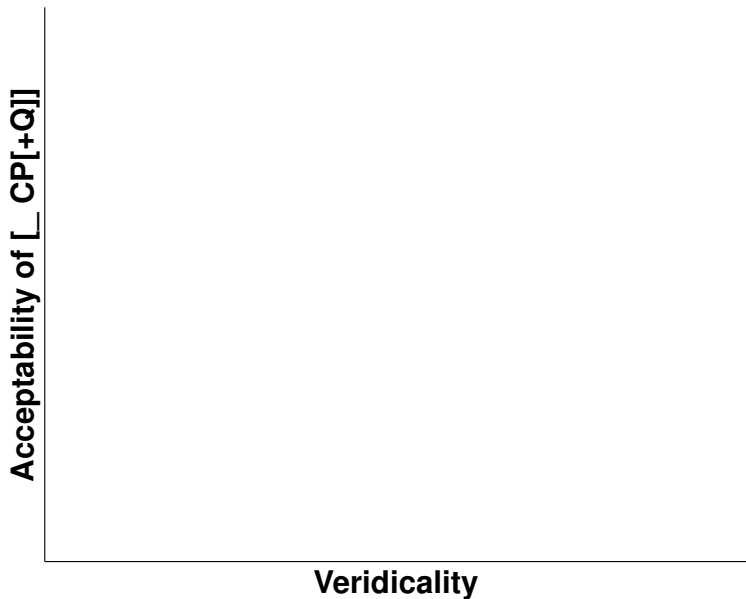
## Correlation: factivity and question-taking



## Correlation: factivity and question-taking

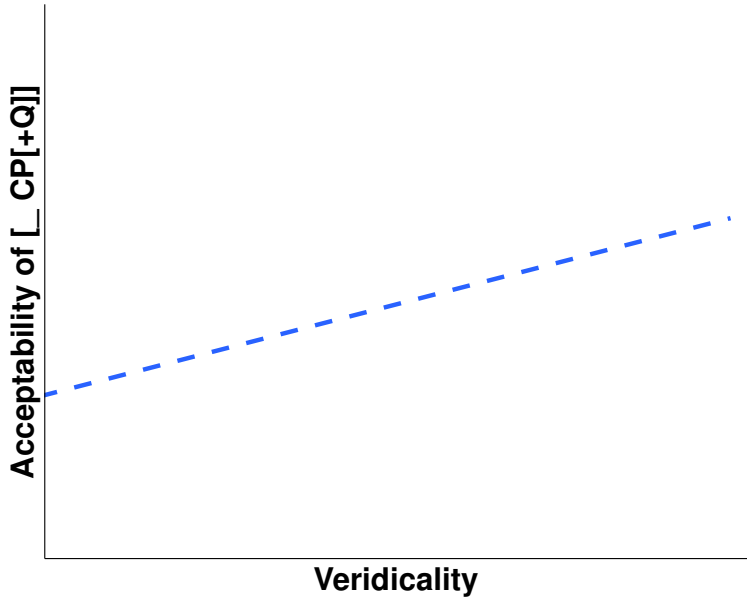


## Correlation: veridicality and question-taking

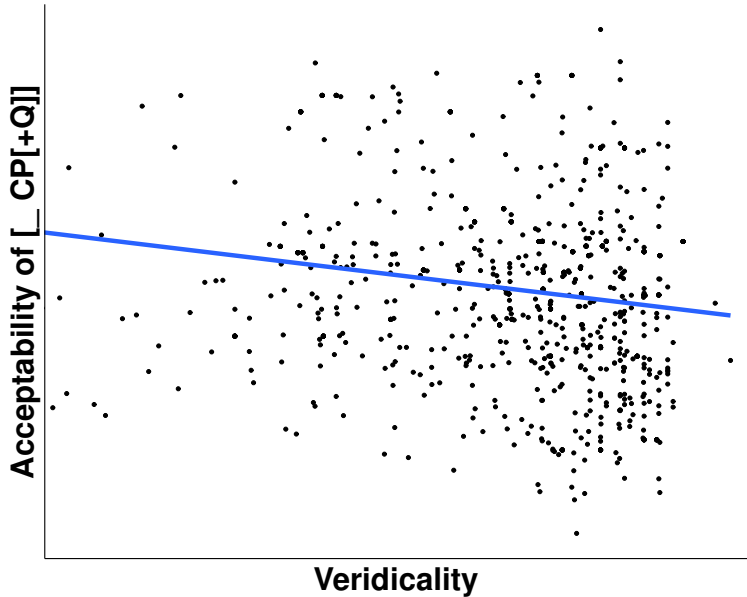




## Correlation: veridicality and question-taking



## Correlation: veridicality and question-taking



# What's going on?

## Question

How could we have gotten the direction of correlation so wrong?

# What's going on?

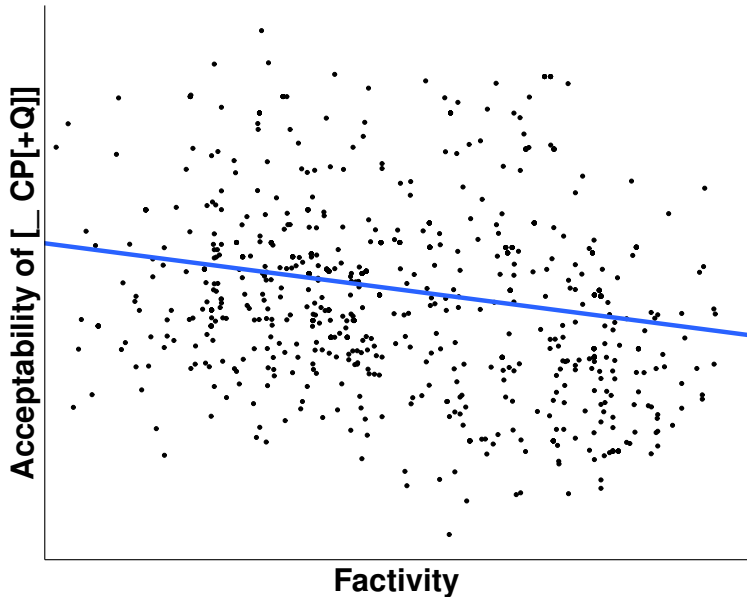
## Question

How could we have gotten the direction of correlation so wrong?

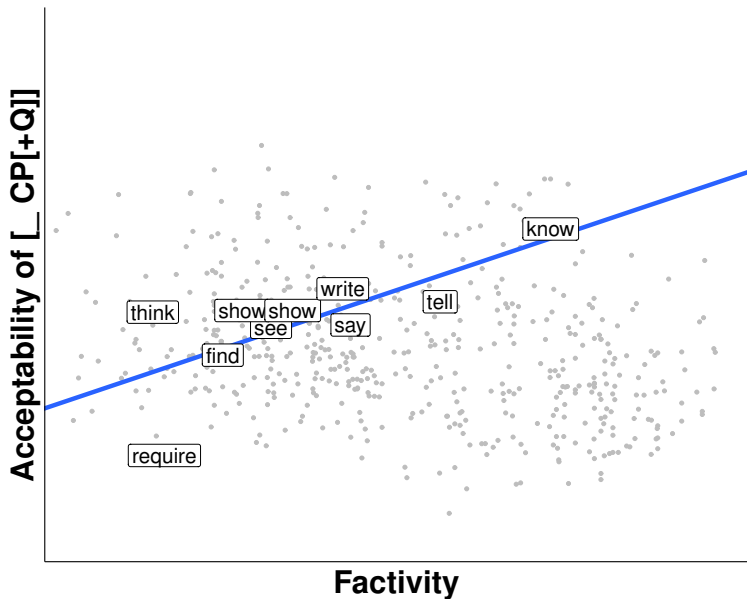
## Two hypotheses

1. Previous analyses were biased by verb frequency.

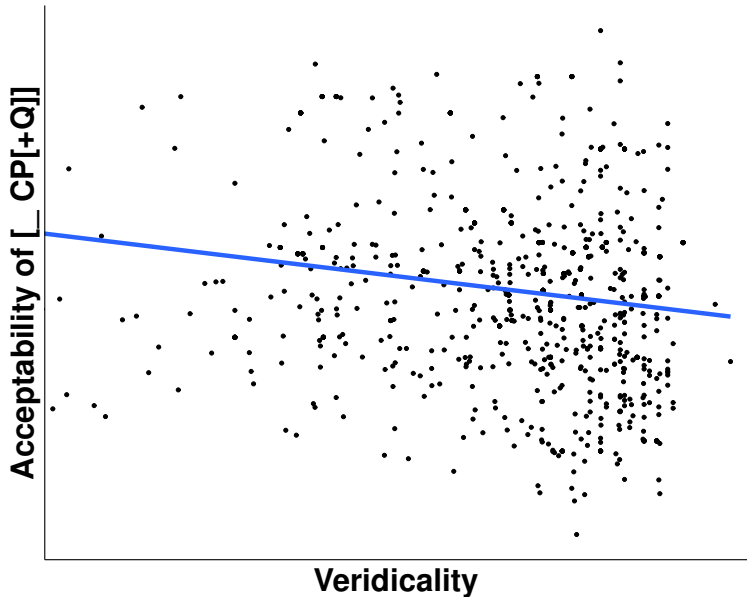
## Correlation: factivity with all verbs



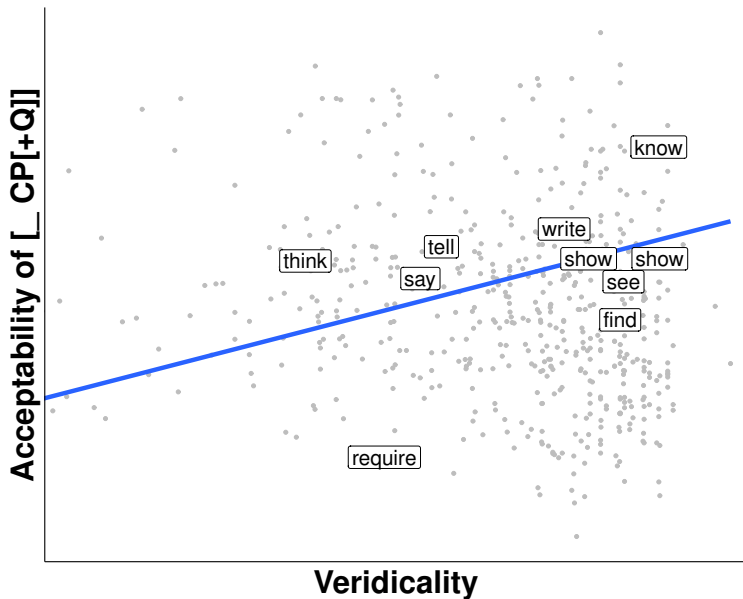
## Correlation: factivity with high-frequency verbs



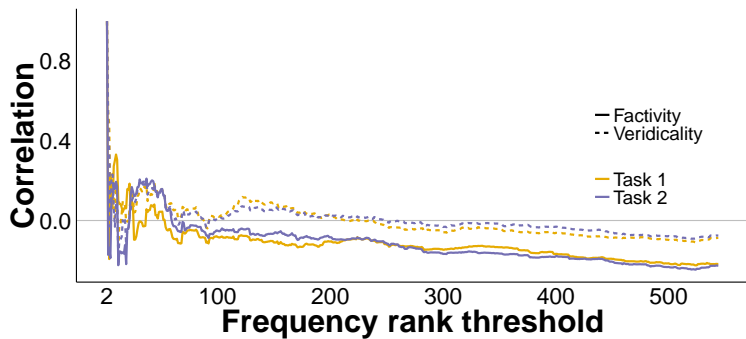
## Correlation: veridicality with all verbs



## Correlation: veridicality with high-frequency verbs







# What's going on?

## Question

How could we have gotten the direction of correlation so wrong?

## Two hypotheses

1. Previous analyses were biased by verb frequency.
2. Analysis missed subregularities due to verb class.

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## Limitation

Because prior generalizations focus on **finite interrogatives & declaratives**, prior dataset covered only finite complements.

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But there is substantial variability in the **veridicality inferences** generated with different complements – even for the same verb.

(9) a. Jo<sub>i</sub> forgot that she<sub>i</sub> bought tofu.

(9) a. Jo<sub>i</sub> forgot that she<sub>i</sub> bought tofu. → Jo bought tofu.

- (9) a. Jo<sub>i</sub> forgot that she<sub>i</sub> bought tofu. → Jo bought tofu.  
b. Jo forgot to buy tofu.



- (9) a. Jo<sub>i</sub> forgot that she<sub>i</sub> bought tofu. → Jo bought tofu.  
b. Jo forgot to buy tofu. → Jo didn't buy tofu.

- (9) a. Jo<sub>i</sub> forgot that she<sub>i</sub> bought tofu. → Jo bought tofu.  
b. Jo forgot to buy tofu. → Jo didn't buy tofu.
- (10) a. Jo<sub>i</sub> knew that she<sub>i</sub> bought tofu.

- (9) a. Jo<sub>i</sub> forgot that she<sub>i</sub> bought tofu. → Jo bought tofu.  
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b. Jo knew to buy tofu.

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b. Jo forgot to buy tofu. → Jo didn't buy tofu.
- (10) a. Jo<sub>i</sub> knew that she<sub>i</sub> bought tofu. → Jo bought tofu.  
b. Jo knew to buy tofu.  $\nrightarrow$  Jo {bought, didn't buy} tofu.

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But there is substantial variability in the **veridicality inferences** generated with different complements – even for the same verb.

## Aim

Measure **veridicality inferences** across a wide variety of syntactic contexts.

## Predicting distribution from veridicality

---



Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)

## NP\_ed for NP to VP

- (11) a. Someone wanted for a particular thing to happen.  
b. Someone didn't want for a particular thing to happen.

Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)

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- [NP \_ed for NP to VP] (184 verbs)
- [NP \_ed NP to VP[+ev]] (197 verbs)

## NP \_ed for NP to VP

- (11) a. Someone wanted for a particular thing to happen.  
b. Someone didn't want for a particular thing to happen.

## NP \_ed NP to VP[+ev]

- (12) a. Someone told a particular person to do a particular thing.  
b. Someone didn't tell a particular person to do a particular thing.

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- [NP \_ed for NP to VP] (184 verbs)
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- [NP \_ed for NP to VP] (184 verbs)
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- [NP \_ed NP to VP[-ev]] (128 verbs)



## NP \_ed for NP to VP

- (11) a. Someone wanted for a particular thing to happen.  
b. Someone didn't want for a particular thing to happen.

## NP \_ed NP to VP[+ev]

- (12) a. Someone told a particular person to do a particular thing.  
b. Someone didn't tell a particular person to do a particular thing.

## NP \_ed NP to VP[-ev]

- (13) a. Someone believed a particular person to have a particular thing.  
b. Someone didn't believe a particular person to have a particular thing.

Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)
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- [NP \_ed NP to VP[-ev]] (128 verbs)
- [NP was \_ed NP to VP[+ev]] (278 verbs)

NP was \_ed to VP[+ev]

- (14) a. A particular person was ordered to do a particular thing.  
b. A particular person wasn't ordered to do a particular thing.

Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)
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- [NP was \_ed NP to VP[+ev]] (278 verbs)
- [NP was \_ed NP to VP[-ev]] (256 verbs)

**NP was \_ed to VP[+ev]**

- (14) a. A particular person was ordered to do a particular thing.  
b. A particular person wasn't ordered to do a particular thing.

**NP was \_ed to VP[-ev]**

- (15) a. A particular person was overjoyed to have a particular thing.  
b. A particular person wasn't overjoyed to have a particular thing.

Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)
- [NP \_ed NP to VP[+ev]] (197 verbs)
- [NP \_ed NP to VP[-ev]] (128 verbs)
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- [NP \_ed for NP to VP] (184 verbs)
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- [NP was \_ed NP to VP[+ev]] (278 verbs)
- [NP was \_ed NP to VP[-ev]] (256 verbs)
- [NP \_ed to VP[+ev]] (217 verbs)

## NP\_ed to VP[+ev]

- (16) a. A particular person decided to do a particular thing.  
b. A particular person didn't decide to do a particular thing.

Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)
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Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)
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- [NP was \_ed NP to VP[-ev]] (256 verbs)
- [NP \_ed to VP[+ev]] (217 verbs)
- [NP \_ed to VP[-ev]] (165 verbs)

## NP\_ed to VP[+ev]

- (16) a. A particular person decided to do a particular thing.  
b. A particular person didn't decide to do a particular thing.

## NP\_ed to VP[-ev]

- (17) a. A particular person hoped to have a particular thing.  
b. A particular person didn't hope to have a particular thing.

Expand MegaVeridicality with **603 verb types** from MegaAcceptability based on acceptability in **7 frames** involving **infinitival complements**:

- [NP \_ed for NP to VP] (184 verbs)
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2,850 items randomly partitioned into 50 lists of 57

## Note

Mixed-effects ordinal model-based normalization to control for variability in how participants use the response scale. (see Agresti, 2014)



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Mixed-effects ordinal model-based normalization to control for variability in how participants use the response scale. (see Agresti, 2014)

Applied to both veridicality and acceptability judgments.

## Note

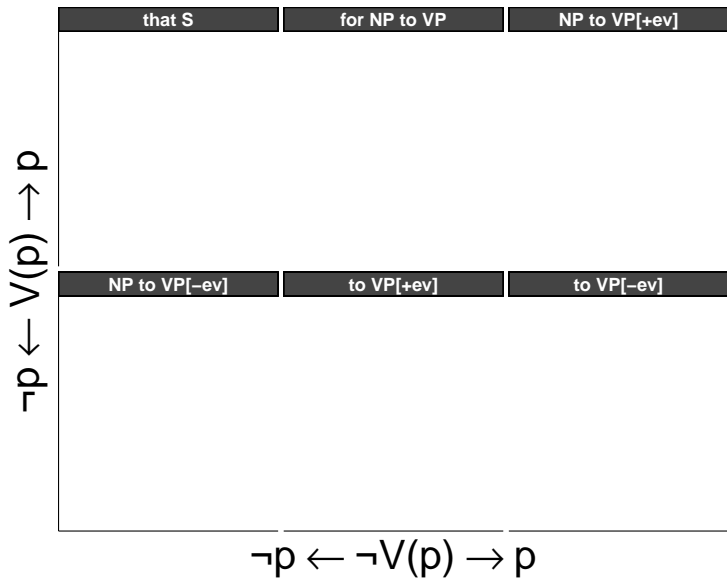
Mixed-effects ordinal model-based normalization to control for variability in how participants use the response scale. (see Agresti, 2014)

Applied to both veridicality and acceptability judgments.

## Intuition

Like z-scoring, but better models response behavior.

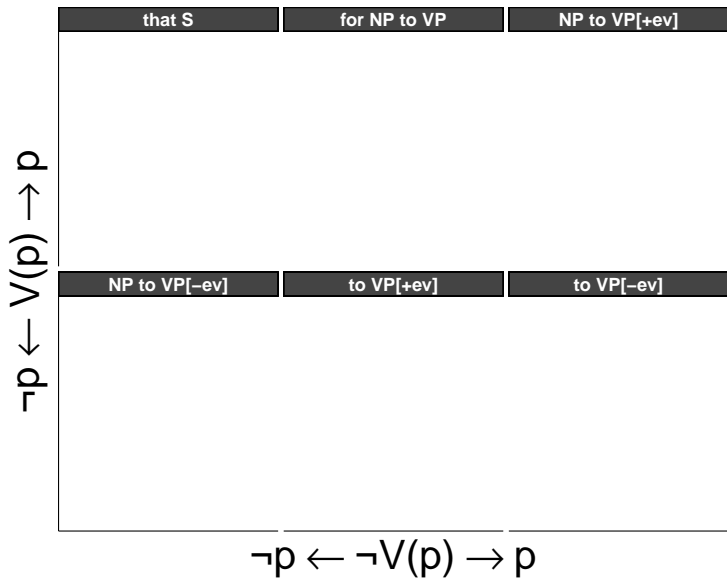
# Results



## Example: x-axis

A particular person didn't forget to do a particular thing.

# Results



## Example: x-axis

A particular person didn't forget to do a particular thing.

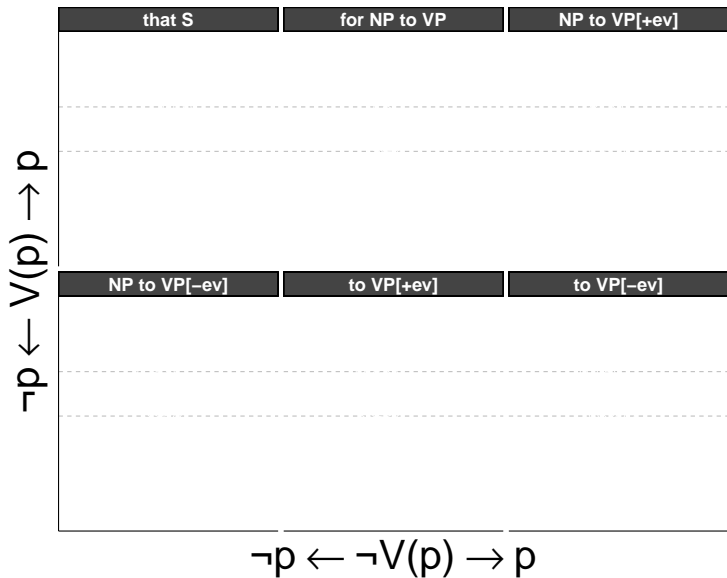
**Example: x-axis**

A particular person didn't forget to do a particular thing.

**Example: y-axis**

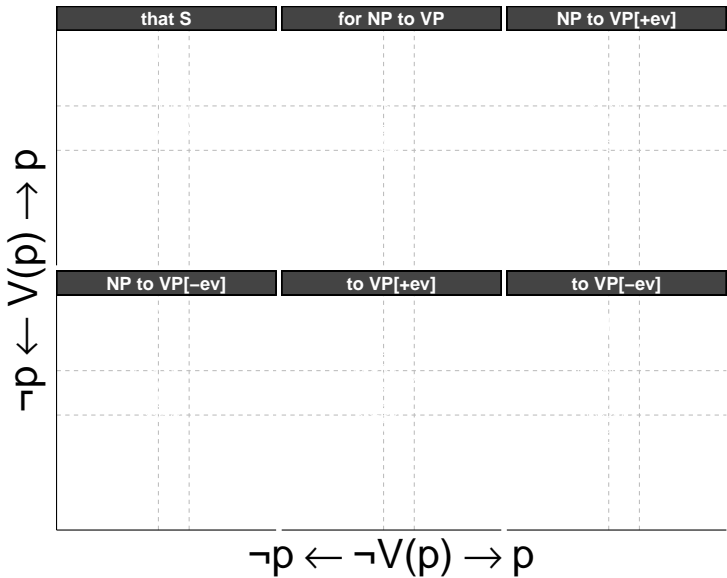
A particular person forgot to do a particular thing.

# Results

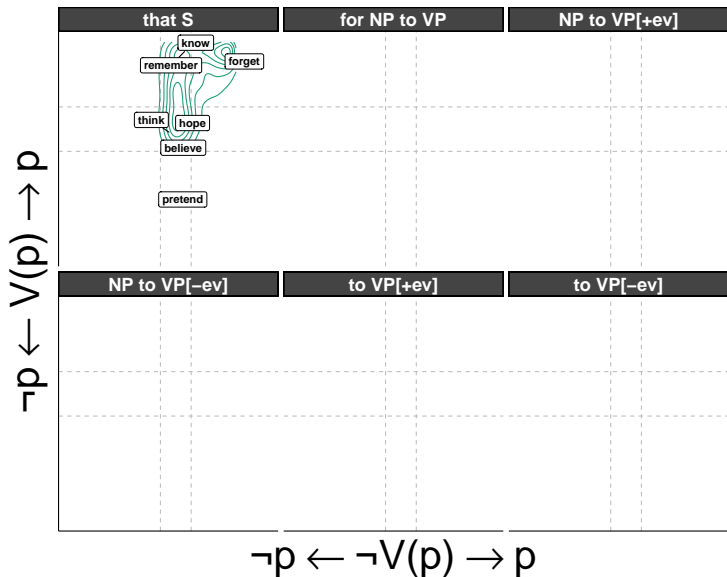




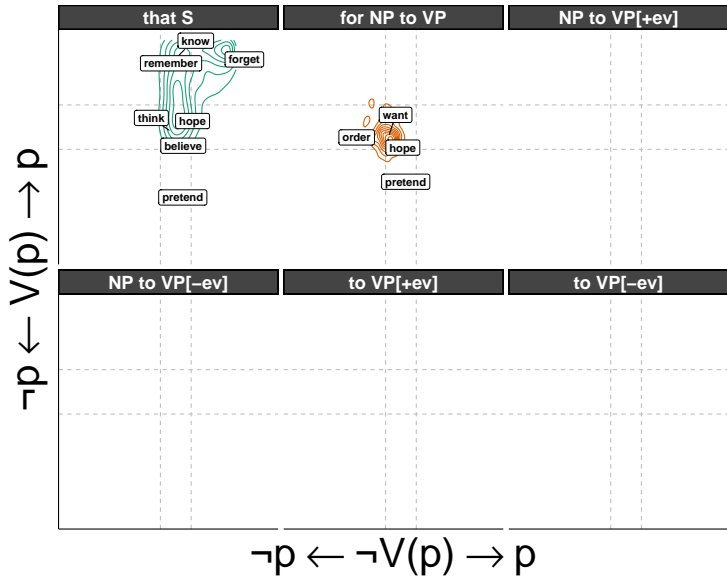
## Results



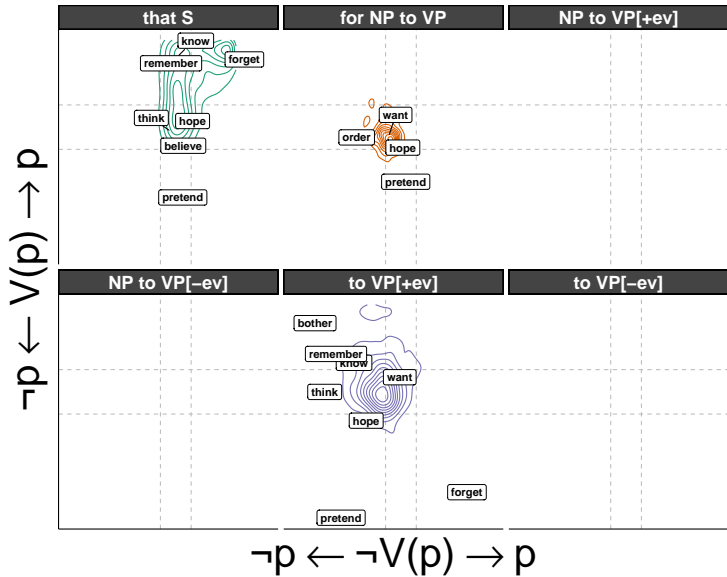
# Results



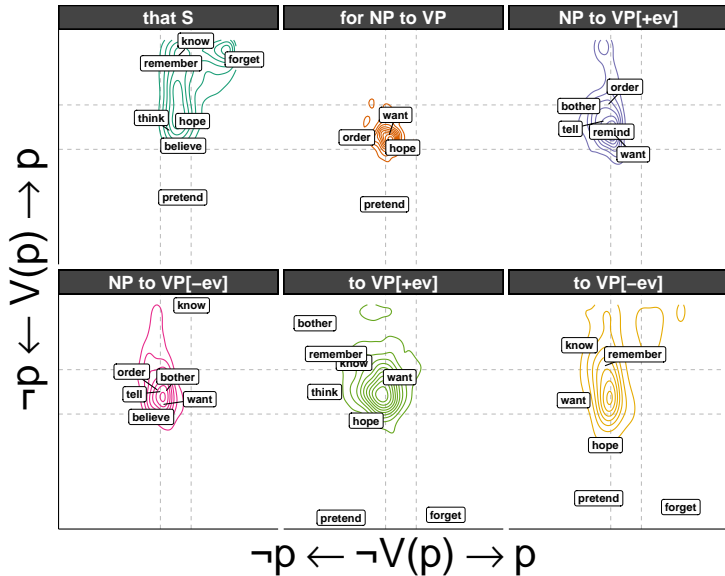
# Results



# Results



# Results



# What about frequency?

## Question

Did you really need to go to all this trouble to collect veridicality judgments? Couldn't you just get it from annotated corpora?

# What about frequency?

## Veridicality corpus annotations

1. FactBank (Saurí and Pustejovsky, 2009, 2012)

# What about frequency?

## Veridicality corpus annotations

1. FactBank (Saurí and Pustejovsky, 2009, 2012)
2. UW (Lee et al., 2015)



# What about frequency?

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2. UW (Lee et al., 2015)
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# What about frequency?

## Veridicality corpus annotations

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Did you really need to go to all this trouble to collect veridicality judgments? Couldn't you just get it from annotated corpora?

## Answer 1

Necessarily yes. Because learners do it.

# What about frequency?

## Question

Did you really need to go to all this trouble to collect veridicality judgments? Couldn't you just get it from annotated corpora?

## Answer 1

Necessarily yes. Because learners do it.

## Answer 2

Practically no. At least not without a model that's effectively equivalent to whatever the learner uses.

# What about frequency?

## Veridicality corpus annotations

1. FactBank (Saurí and Pustejovsky, 2009, 2012)
2. UW (Lee et al., 2015)
3. MEANTIME (Minard et al., 2016)
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# What about frequency?

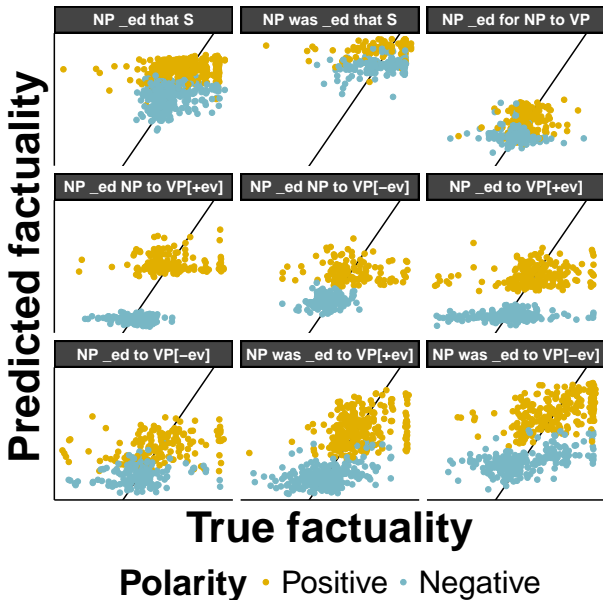
## Veridicality corpus annotations

1. FactBank (Saurí and Pustejovsky, 2009, 2012)
2. UW (Lee et al., 2015)
3. MEANTIME (Minard et al., 2016)
4. UDS (White et al., 2016; Rudinger et al., 2018)

## Current state-of-the-art

Hybrid linear-chain/tree structured neural model. (Rudinger et al., 2018)

# Predicting veridicality





Sentence	True	Predicted
someone faked that something happened .	-3.15	0.86
someone was misinformed that something happened .	-2.62	1.37
someone neglected to do something .	-3.07	-0.02
someone pretended to have something .	-2.96	0.05
someone was misjudged to have something .	-2.46	0.55
someone forgot to have something .	-3.18	-0.17
someone neglected to have something .	-2.93	0.07
someone pretended that something happened .	-2.11	0.86
someone declined to do something .	-3.18	-0.22
someone was refused to do something .	-3.16	-0.22
someone refused to do something .	-3.12	-0.20
someone pretended to do something .	-3.02	-0.11
someone disallowed someone to do something .	-2.56	0.34
someone was declined to have something .	-2.36	0.55
someone declined to have something .	-3.12	-0.23
someone did n't hesitate to have something .	1.84	-0.96
someone ceased to have something .	-2.22	0.57
someone did n't hesitate to do something .	1.86	-0.92
someone lied that something happened .	-1.99	0.78
someone feigned to have something .	-3.07	-0.31

## Goal

Extract patterns of inference – e.g. factive, veridical, or implicative.

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*(Ask about specifics after the talk.)*

# Inference patterns

	Pattern 0	Pattern 1	Pattern 2	Pattern 3
NP _ed that S				
NP was _ed that S				
NP _ed for NP to VP				
NP _ed NP to VP[+ev]				
NP _ed NP to VP[-ev]				
NP _ed to VP[+ev]				
NP was _ed to VP[+ev]				
NP _ed to VP[-ev]				
NP was _ed to VP[-ev]				
	Pattern 4	Pattern 5	Pattern 6	Pattern 7
NP _ed that S				
NP was _ed that S				
NP _ed for NP to VP				
NP _ed NP to VP[+ev]				
NP _ed NP to VP[-ev]				
NP _ed to VP[+ev]				
NP was _ed to VP[+ev]				
NP _ed to VP[-ev]				
NP was _ed to VP[-ev]				
	Pattern 8	Pattern 9	Pattern 10	Pattern 11
NP _ed that S				
NP was _ed that S				
NP _ed for NP to VP				
NP _ed NP to VP[+ev]				
NP _ed NP to VP[-ev]				
NP _ed to VP[+ev]				
NP was _ed to VP[+ev]				
NP _ed to VP[-ev]				
NP was _ed to VP[-ev]				

**Inference polarity**

Matrix polarity ■ negative ■ positive

# Inference patterns

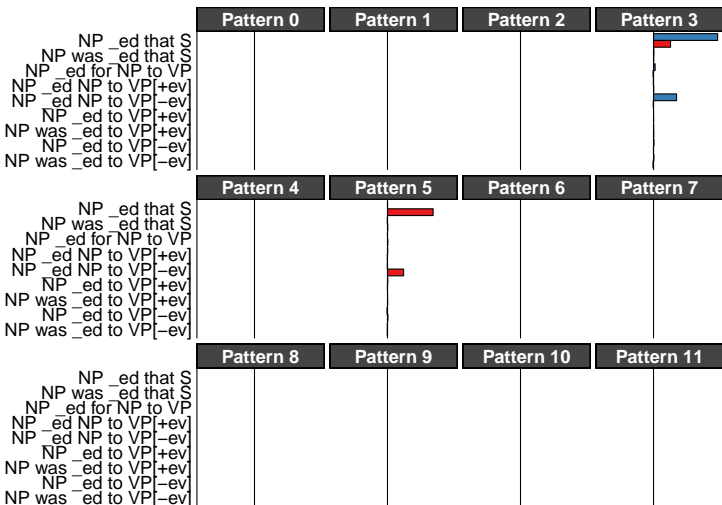
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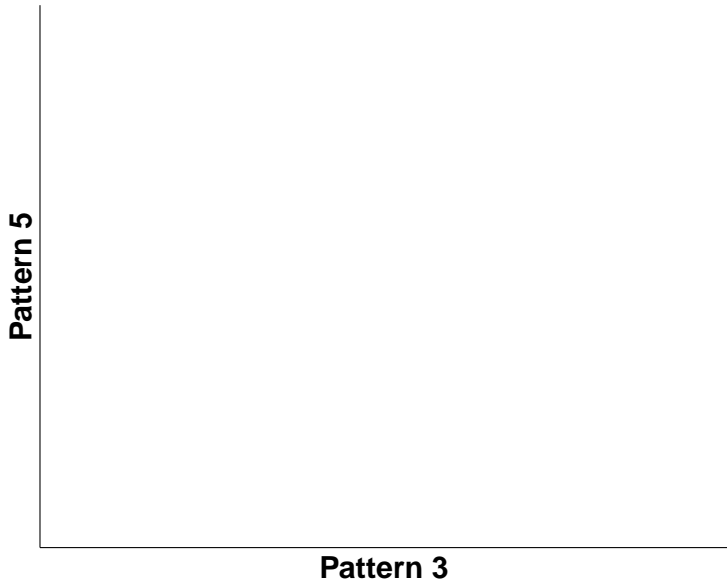
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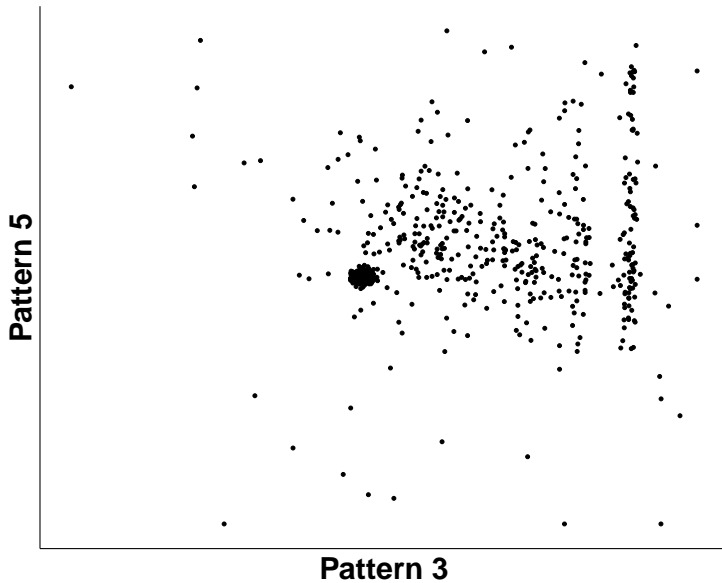
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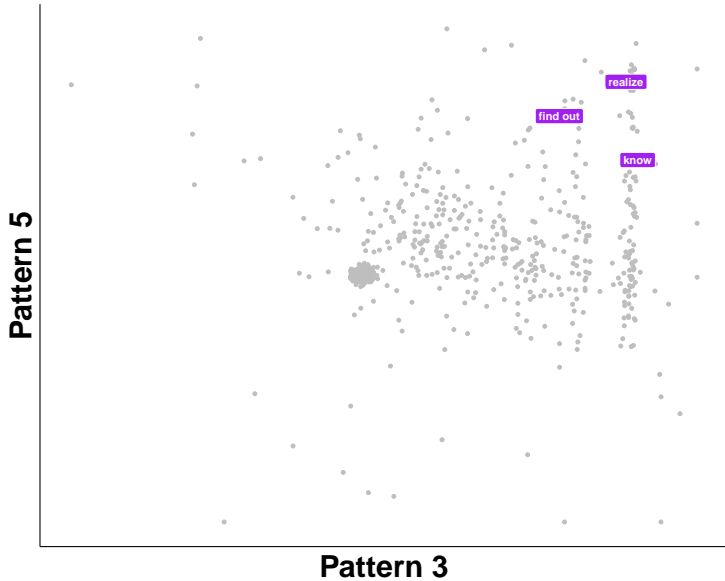
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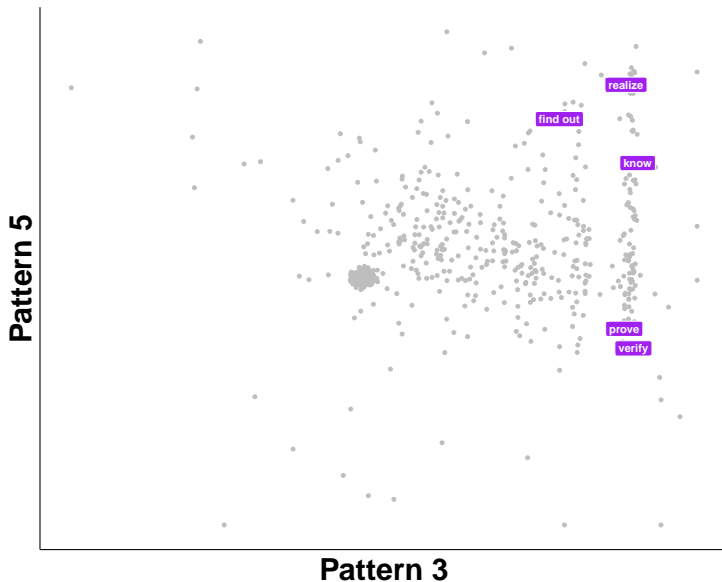
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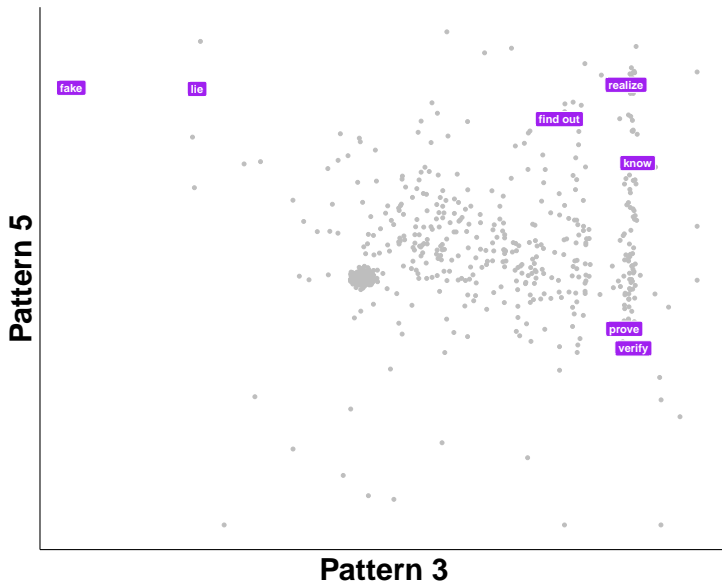
# Inference patterns: factivity/veridicality



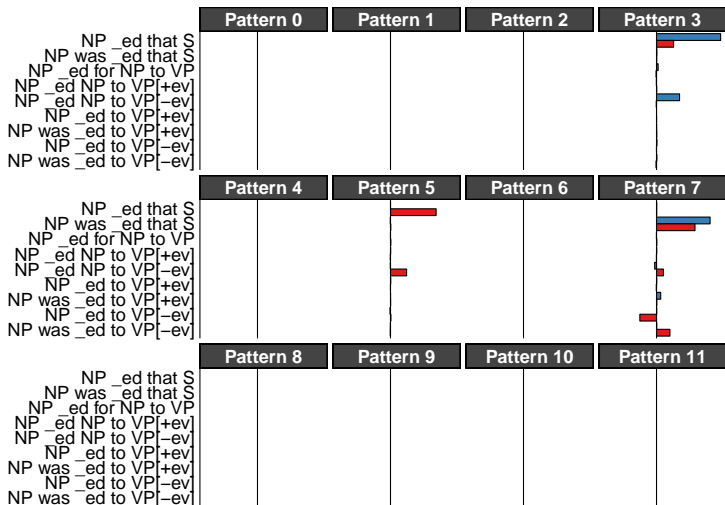
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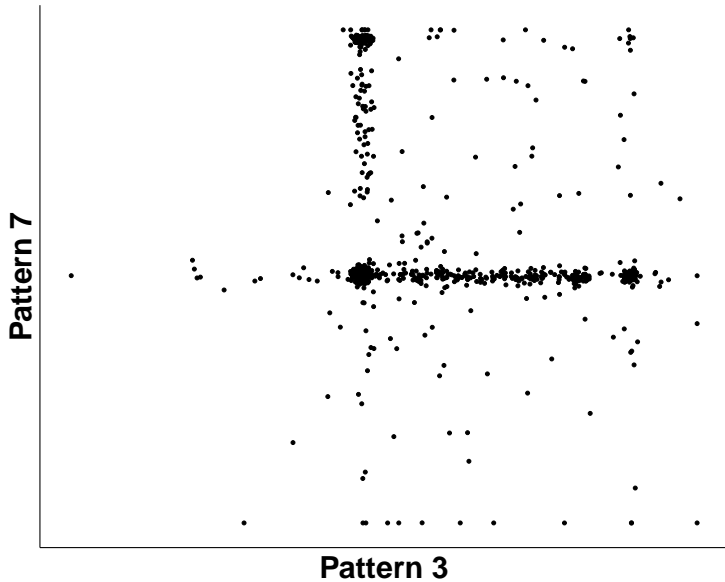
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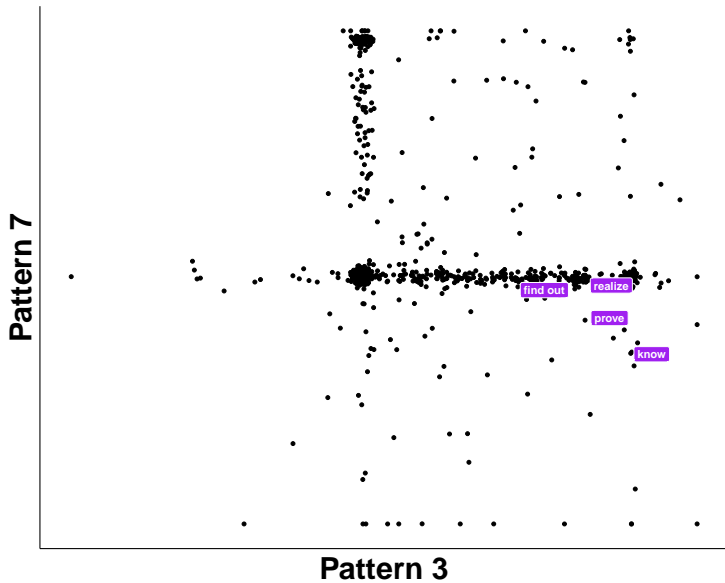
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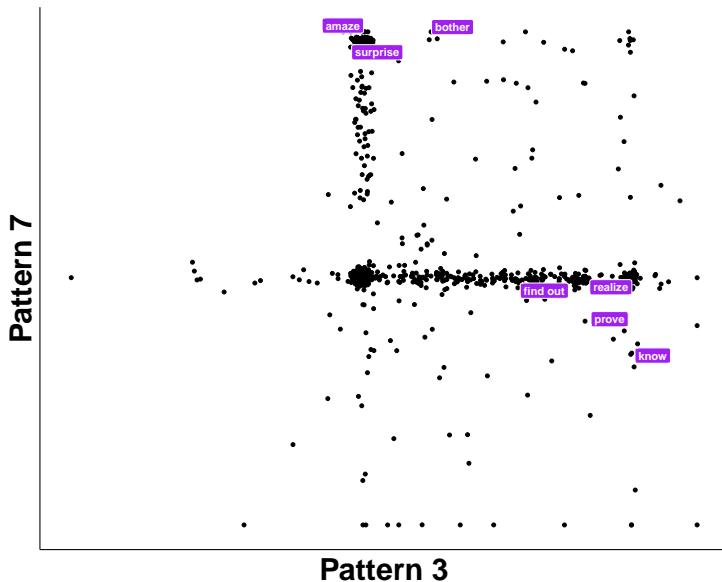




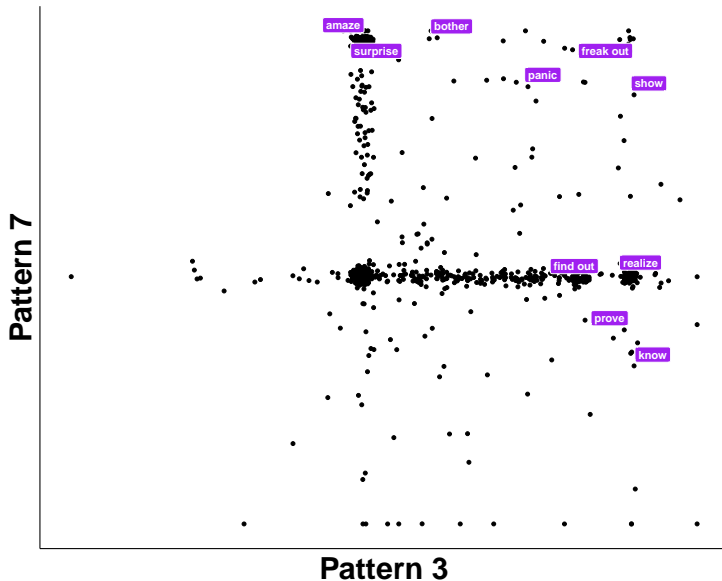
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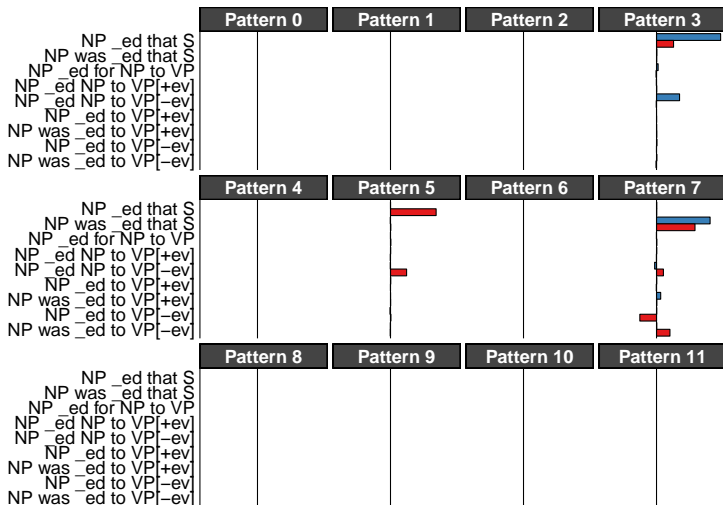
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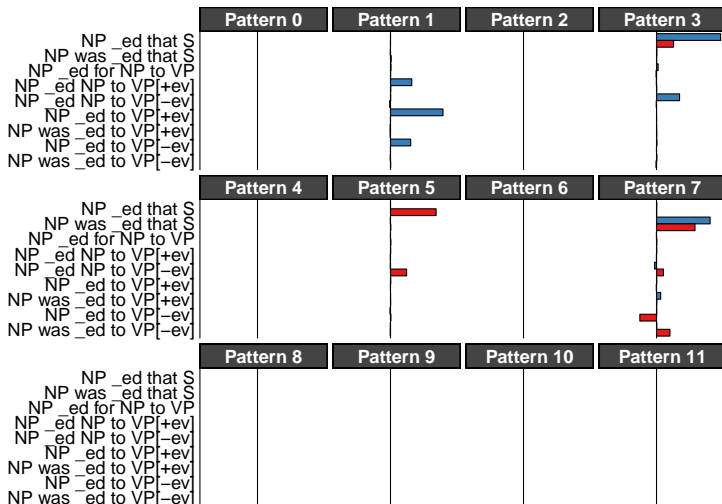
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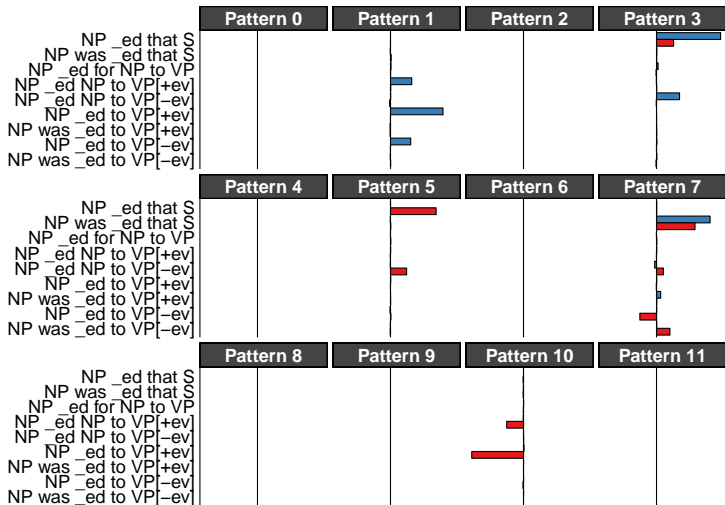
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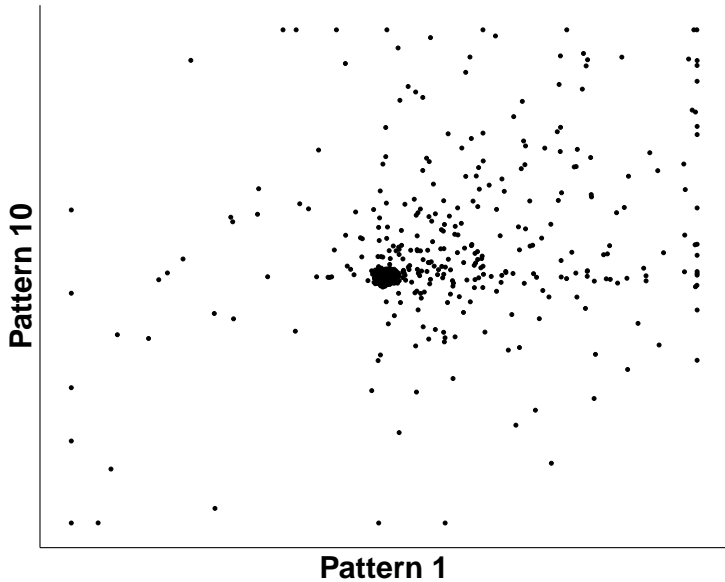
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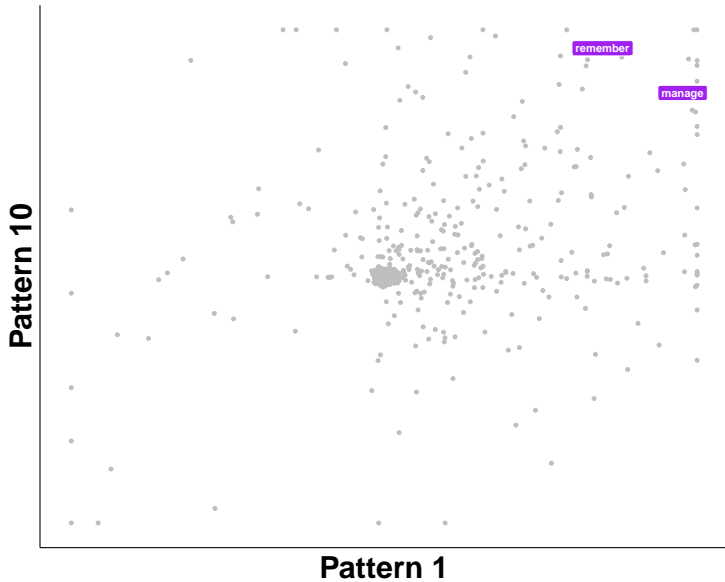
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## Inference patterns: implicatives

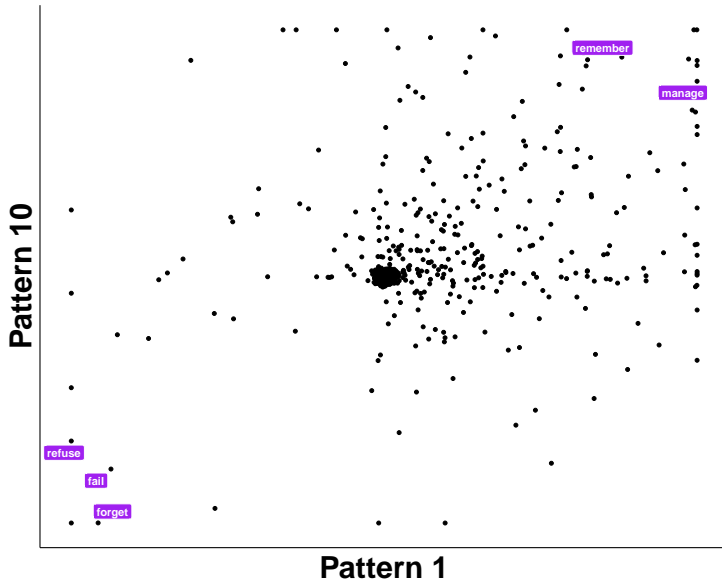


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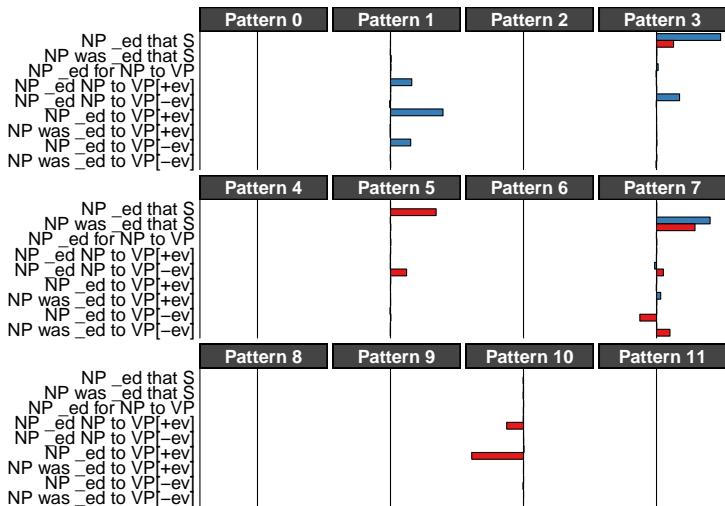




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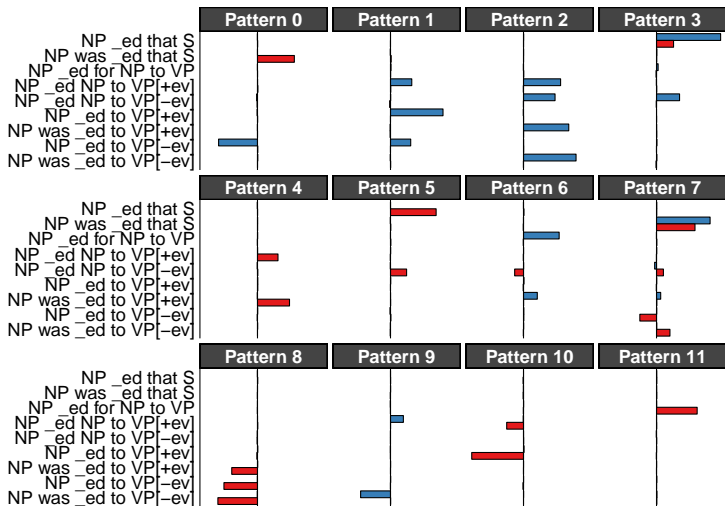
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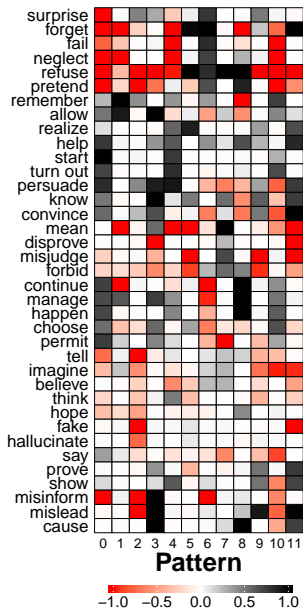
# Inference patterns



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# Inference patterns



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Can we predict **syntactic distribution** directly from **veridicality inference patterns**?

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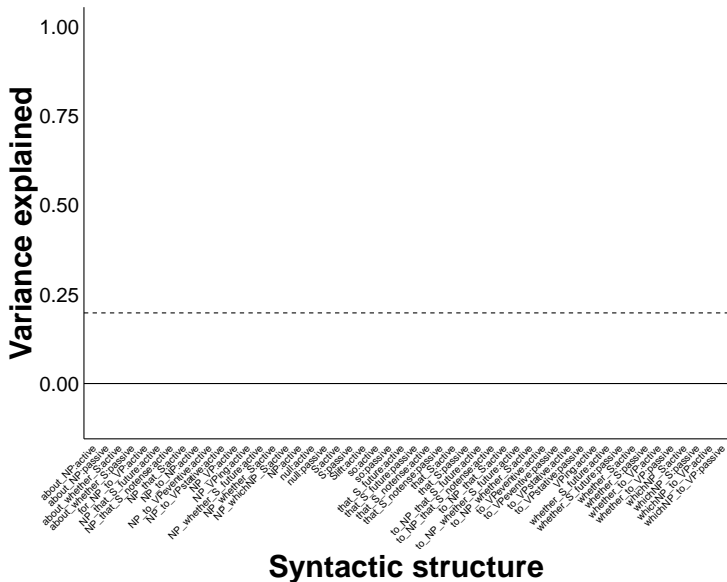
## Finding

Across all frames in MegaAcceptability, this mapping explains about 20% of the variance in the acceptability judgments.

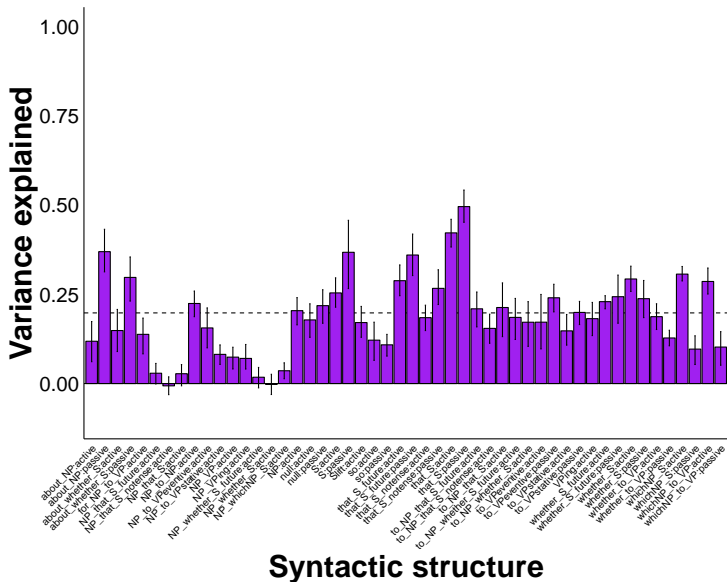




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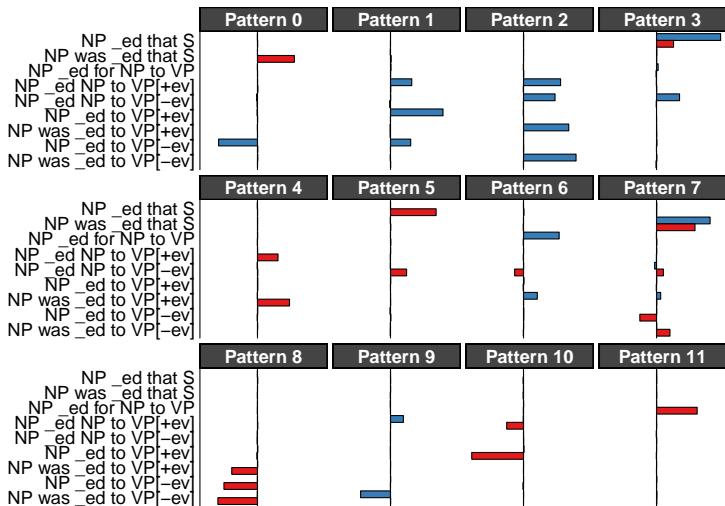
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## Points

1. Some amount of information about syntactic distribution carried in veridicality inferences.
  - 1.1 **Caveat:** It's hard to tell how much explanation is driven by syntactic information encoded in the patterns.
2. Not nearly enough information to base a generalization on.

## Question

What drives the relationship between veridicality and distribution?



# Exploratory analysis

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The relationship is **indirect**, mediated by underlying features that explain both **distribution** and **veridicality**.

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Relationship may be mediated by non-contentful properties of contentful events Kratzer 2006; Hacquard 2006; Moulton 2009; Anand and Hacquard 2013, 2014; Rawlins 2013; Bogal-Allbritten 2016; White and Rawlins 2016b a.o.

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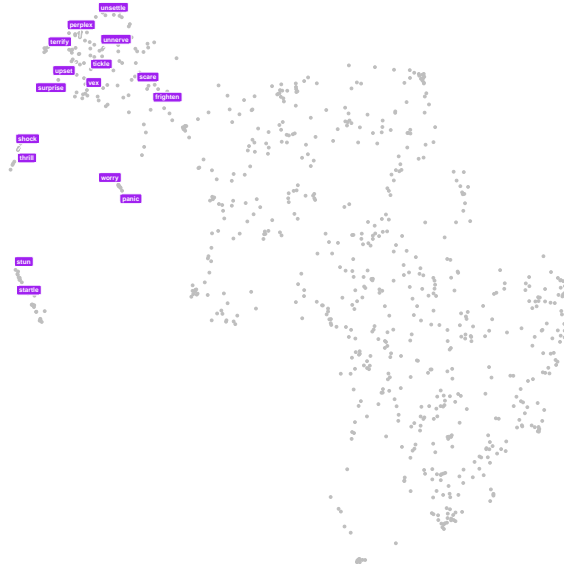
## Approach

Use Uniform Manifold Approximation and Projection (UMAP) to visualize the topological structure of the distribution and veridicality data. McInnes and Healy 2018

# Exploratory analysis



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Fine-grained clusters like verb classes among 'action' verbs

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## **Finding**

Fine-grained clusters like verb classes among ‘action’ verbs

## **Question**

What could explain distributional properties like responsivity?

## **Possibility 1**

Verb class-specific rules (possibly sensitive to content-dependent properties, like veridicality and factivity).

## **Possibility 2**

More abstract semantic properties relevant to thematic roles – e.g. affectedness, existence, creation/destruction, ...



## Case study: decision predicates

---

# Why decision predicates?

## Observation

Decision predicates are one of multiple classes of **responsive** verbs that are not **veridical** (Beck and Rullmann, 1999; Lahiri, 2002; Egré, 2008)

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- (19) a. Jo and Mo **agreed that Bo was alive**.  $\nrightarrow$  Bo was alive.  
b. Jo and Mo **agreed on whether Bo was alive**.
- (20) a. Jo<sub>i</sub> **decided PRO<sub>i</sub> to leave**.  $\nrightarrow$  Jo will leave.  
b. Jo<sub>i</sub> **decided whether PRO<sub>i</sub> to leave**.

# Why decision predicates?

**Decide** is part of a nontrivial class of Change-of-mental-state (CoMS) **responsives** not captured by standard theories of **responsivity**

(21) decide, judge, estimate, determine, assess, conclude, resolve, choose, assess, evaluate, appraise, rate, select, infer, diagnose, opt, elect

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## Minimal pair

Change-of-mental-state (CoMS) **decide** v. stative **intend**

- (22) a. Jo **decided** (**whether**) to go out.  
b. Jo **intended** (\***whether**) to go out.

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Overarching claim

Responsivity is licensed by CoMS



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## Argument outline

1. Interpretation of decision predicates with embedded questions is not captured by standing theories.
2. Capturing the interpretations of decision predicates must make explicit reference to the structure of selection events.

# Two notions of veridicality

## P-veridicality

A verb  $V$  is (P-)veridical iff  $\forall x, p : \llbracket V \rrbracket^{w@}(x, p) \rightarrow p(w@)$

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(24) Jo **knew** whether Bo was alive  
 $\rightarrow$  Jo **knew** the true answer to “was Bo alive?”

A verb  $V$  is Q-nonveridical if it is not Q-veridical.

## Spector and Egré's (2015) observation

High correlation between Q-veridicality and P-veridicality

## Spector and Egré's (2015) proposal

Q-veridicality is derived from P-veridicality



## Spector and Egré's (2015) formalization

When a **Q-agnostic** predicate takes a question  $Q$ , it relates an attitude holder to some possible (complete) answer to  $Q$

(cf. Hamblin, 1973; Groenendijk and Stokhof, 1984; Beck and Rullmann, 1999; Lahiri, 2002)

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But if a verb  $V$  is **P-veridical**, then...

$$\left[ \begin{array}{l} \forall x, p' : \llbracket V \rrbracket^{w_\Theta}(x, p') \rightarrow p'(w_\Theta) \wedge \\ \exists p \in Q : \llbracket V \rrbracket^{w_\Theta}(x, p) \end{array} \right] \implies \exists p'' \in Q : p''(w_\Theta) \wedge \llbracket V \rrbracket^{w_\Theta}(x, p'')$$

## System

Adopt Spector and Egré's proposal that embedded interrogatives denote possible complete answers (exhaustified Hamblin Qs)

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Adopt Spector and Egré's proposal that embedded interrogatives denote possible complete answers (exhaustified Hamblin Qs)

## Goal

Some explanation of **Q-agnostic** predicates that are neither **P-veridical** nor **Q-veridical** – e.g. CoMS predicates

Hamblin (1973) questions

Sets of **possible** answers (cf. Beck and Rullmann, 1999; Spector and Egré, 2015)

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- (25) a.  $\llbracket \text{whether Jo left} \rrbracket = \lambda p. p \in \{ \llbracket \text{Jo left} \rrbracket, \neg \llbracket \text{Jo left} \rrbracket \}$   
b.  $\llbracket \text{who left} \rrbracket = \lambda p. \exists x : p = \lambda w. \llbracket \text{left} \rrbracket^w(x)$



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## Karttunen (1977b) questions

Sets of **true** answers (cf. Groenendijk and Stokhof, 1984; Heim, 1994)

- (26) a.  $\llbracket \text{whether Jo left} \rrbracket = \lambda p. p(w_{\Theta}) \wedge p \in \{ \llbracket \text{Jo left} \rrbracket, \neg \llbracket \text{Jo left} \rrbracket \}$   
b.  $\llbracket \text{who left} \rrbracket = \lambda p. p(w_{\Theta}) \wedge \exists x : p = \lambda w. \llbracket \text{left} \rrbracket^w(x)$

## Plan

Show that...

1. ...Spector and Egré's proposal makes no wrong predictions about **CoMS** verbs, but it undergenerates entailments
2. ...to strengthen their predictions without overgenerating, reference to **CoMS** is necessary

## Two contexts

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Selecting	Alternating
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## Two contexts

	Selecting	Alternating
decide to		

## Two contexts

	Selecting	Alternating
decide to		
decide whether to		

## Context 1: selecting

### Selecting contexts

DECIDER selects an intention from set of possible intentions

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## Selecting contexts

DECIDER selects an intention from set of possible intentions

(27) a. Before 3pm, Jo was considering whether to leave.

b.  $\rightarrow$  It's false that Jo intended to leave before 3pm.

c.  $\rightarrow$  It's false that Jo intended not to leave before.

(28) At 3pm, Jo decided to leave at 5pm.



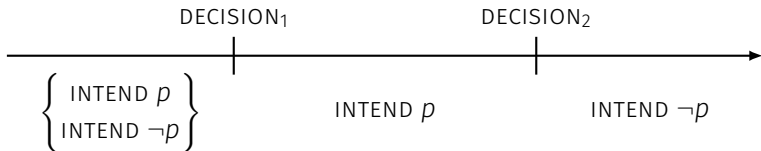
## Context 2: alternating

### Alternating contexts

DECIDER changes intention from mutually exclusive intention

(29) At 3pm, Jo decided to leave at 5pm.

(30) At 4pm, Jo changed her mind and decided not to leave.





## Two contexts

	Selecting	Alternating
decide to	✓	✓
decide whether to		

# Selecting v. switching contexts

## Possibility

Given only the (prototypical) selecting contexts...

(31) At 3pm, Jo decided to leave at 5pm.

a.  $\rightarrow$  Jo intended to leave after 3pm.

b.  $\overset{?}{\rightarrow}$  It's F that Jo intended to leave before 4pm

c.  $\overset{?}{\rightarrow}$  It's F that Jo intended not to leave before 4pm

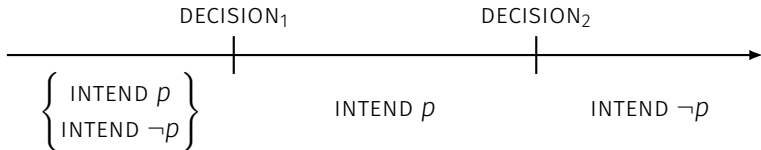


# Selecting v. switching contexts

## Conclusion

The availability of alternating contexts suggests...

- (32) At 4pm, Jo decided not to leave at 5pm.
- a.  $\rightarrow$  Jo intended not to leave after 4pm.
  - b.  $\rightarrow$  It's F that Jo intended to leave before 4pm
  - c.  $\nrightarrow$  It's F that Jo intended not to leave before 4pm



## A CoMS denotation

Suggests a very straightforward CoMS denotation for **decide to**  
(simplified to capture just entailments of interest)

$$(33) \llbracket \text{decide } S \rrbracket^t = \lambda x. \neg \text{INTEND}(x, \llbracket S \rrbracket, < t) \wedge \text{INTEND}(x, \llbracket S \rrbracket, \geq t)$$

## Question

What predictions does Spector and Egré's (2015) proposal make?

(34) Jo decided **whether** to leave.

## Answer 1

Predicts everything correctly for **post-states**

(35) Either Jo intended to leave or she intended not to leave.

# Question embedding and CoS

## Question

What predictions does Spector and Egré's (2015) proposal make?

(36) At 4pm, Jo decided **whether** to leave at 5pm.

## Answer 2

For **pre-states**, where it makes predictions, they are correct

# Question embedding and CoS

## Question

What predictions does Spector and Egré's (2015) proposal make?

(36) At 4pm, Jo decided **whether** to leave at 5pm.

## Answer 2

For **pre-states**, where it makes predictions, they are correct

(37) Before 4pm, either it's false that Jo decided to leave at 5pm or it's false that she decided not to leave at 5pm.

# Question embedding and CoS

## Question

What predictions does Spector and Egré's (2015) proposal make?

(36) At 4pm, Jo decided **whether** to leave at 5pm.

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For **pre-states**, where it makes predictions, they are correct

(37) Before 4pm, either it's false that Jo decided to leave at 5pm or it's false that she decided not to leave at 5pm.

(38)  $\exists p \in Q : \neg \text{INTEND}(x, p, < t) \wedge \text{INTEND}(x, p, \geq t)$



# Question embedding and CoS

## Question

What predictions does Spector and Egré's (2015) proposal make?

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For **pre-states**, where it makes predictions, they are correct

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(38)  $\exists p \in Q : \neg \text{INTEND}(x, p, < t) \wedge \text{INTEND}(x, p, \geq t)$

But this prediction is too weak

## Observation

While **decide to** is licensed in selecting and alternating contexts, **decide whether to** is only licensed in selective contexts

(39) a. Before 3, Jo intended neither to leave nor not to.

b. At 3, Jo decided whether to leave.

(40) a. Before 4, Jo intended either to leave or not to.

b. At 4pm, Jo decided whether to leave at 5pm

## Intuition

(40-b) → Jo have no intention with respect to leaving before 4pm

## Two contexts

	Selecting	Alternating
decide to	✓	✓
decide whether to		

## Two contexts

	Selecting	Alternating
decide to	✓	✓
decide whether to	✓	#

## Consequence

We need (42), rather than (41) for CoMS embedded questions.

$$(41) \exists p \in Q : \neg \text{INTEND}(x, p, < t) \wedge \text{INTEND}(x, p, \geq t)$$

$$(42) \forall p \in Q : \neg \text{INTEND}(x, p, < t) \wedge \exists p \in Q : \text{INTEND}(x, p, \geq t)$$

## Observation

The **pre-state** conjunct is equivalent to the negation of the **post-state** conjunct (*modulo* tense)

$$(43) \forall p \in Q : \neg \text{INTEND}(x, p) \leftrightarrow \neg \exists p \in Q : \text{INTEND}(x, p)$$

## Idea

Apply Spector and Egré's (2015) proposal to each conjunct

$$(44) \quad Q = \llbracket \text{whether } S \rrbracket = \{\llbracket S \rrbracket, \neg \llbracket S \rrbracket\} = \{p, \neg p\}$$

$$(45) \quad \llbracket \text{decide whether } S \rrbracket^t = \lambda x. \neg \text{INTEND}(x, Q, < t) \wedge \text{INTEND}(x, Q, \geq t)$$

$$(46) \quad \llbracket \text{decide whether } S \rrbracket^t = \lambda x. \neg \exists p \in Q : \text{INTEND}(x, p, < t) \wedge \\ \exists p \in Q : \text{INTEND}(x, p, \geq t)$$

## Problem

Mysterious why we shouldn't be able to do this for **intend**

- (47) a. Jo hasn't **decided** *whether* to go out.  
b.\*Jo didn't **intend** *whether* to go out.

$$\begin{aligned}\llbracket \text{intend whether } S \rrbracket &= \lambda x. \text{INTEND}(x, \llbracket \text{whether } S \rrbracket) \\ &= \lambda x. \exists p \in \llbracket \text{whether } S \rrbracket : \text{INTEND}(x, p)\end{aligned}$$

## Observation

Problem doesn't arise for CoMS veridicals



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Problem doesn't arise for CoMS veridicals

- (48) a. Jo doesn't **figure out** (whether) Bo left.  
b. Jo doesn't **know** (whether) Bo left.

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Problem doesn't arise for CoMS veridicals

- (48) a. Jo doesn't **figure out** (whether) Bo left.  
b. Jo doesn't **know** (whether) Bo left.

$$\begin{aligned}\llbracket \text{know whether } S \rrbracket &= \lambda x. \text{KNOW}(x, \llbracket \text{whether } S \rrbracket) \\ &= \lambda x. \exists p \in \llbracket \text{whether } S \rrbracket : \text{KNOW}(x, p)\end{aligned}$$

## Upshot

Only target certain event types (e.g. intentions) in CoMS structure

## **Upshot**

Only target certain event types (e.g. intentions) in CoMS structure

## **Proposal**

Make interrogative-taking dependent on CoMS

## Minimal requirements

For **decide to**, something of the form in (49)

$$(49) \dots \neg \text{INTEND}(x, \llbracket S \rrbracket, < t) \wedge \text{INTEND}(x, \llbracket S \rrbracket, \geq t)$$

For **decide whether to**, something of the form in (50)

$$(50) \dots \forall p \in Q : \neg \text{INTEND}(x, p, < t) \wedge \exists p \in Q : \text{INTEND}(x, p, \geq t)$$

# Implementation

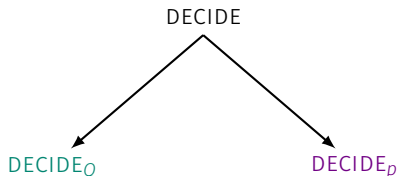
## Core idea

Q-agnostic predicates undergo a regular polysemy

Lexical abstraction

Polysemy rules

Lexicon



# Implementation

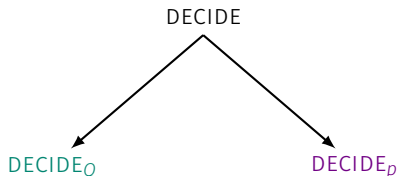
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# George's (2011) Twin Relations Theory

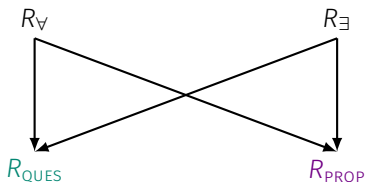
## Goal

A polysemy approach for Q-agnostics

Elementary relations

Lexical templating

Lexicon





# Lexical templates

Proposition-taking variant passes  $p$  to elementary relations

$$R_{\text{PROP}} \equiv \lambda w. \lambda x. \lambda p. R_{\forall}(x, p, w) \wedge R_{\exists}(x, p, w)$$

Question-taking variant passes  $p \in Q$  to elementary relations

$$R_{\text{QUES}} \equiv \lambda w. \lambda x. \lambda Q. \forall p \in Q : R_{\forall}(x, p, w) \wedge \exists p \in Q : R_{\exists}(x, p, w)$$

Veridicality arises from  $R_{\forall}$

$$\text{KNOW}_{\forall}(x, p, w) \equiv \text{BELIEVE}(x, p, w) \rightarrow p(w)$$

$R_{\text{PROP}}$  corresponds to the form we need for **decide to**, and  
 $R_{\text{QUES}}$  corresponds to the form we need for **decide whether to**

$$(51) \text{ DECIDE}_{\forall} = \neg \text{INTEND}$$

$$(52) \text{ DECIDE}_{\exists} = \text{INTEND}$$

$R_{\forall} = R_{pre}$  characterizes pre-states

$R_{\exists} = R_{post}$  characterizes post-states

## Hacquard's (2010) neo-Davidsonian event content approach

(cf. Kratzer, 2006; Moulton, 2009; Bogal-Allbritten, 2016)

# Basic approach

Hacquard's (2010) neo-Davidsonian event content approach

(cf. Kratzer, 2006; Moulton, 2009; Bogal-Allbritten, 2016)

(53)  $\text{CON}(e) = \{w : w \text{ is compatible with the contents of } e\}$

(54)  $\llbracket [V S]_{VP} \rrbracket = \lambda e. P_V(e) \wedge \forall w \in \text{CON}(e) : \llbracket S \rrbracket(w)$

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Champollion's (2015) verb-as-event-quantifier approach

$$(55) \llbracket VP \rrbracket = \lambda f. \exists e : f(e) \wedge \dots$$

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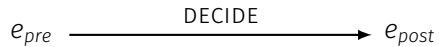
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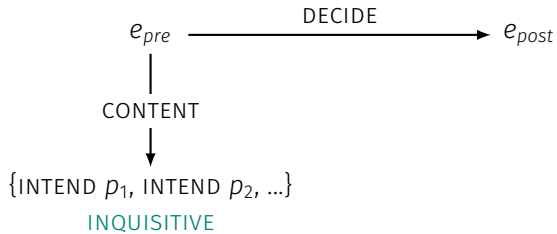
Attitude denotations

$$(56) \llbracket [V S]_{VP} \rrbracket = \lambda f. \exists e : P_V(e) \wedge f(e) \wedge \forall w \in \text{CON}(e) : \llbracket S \rrbracket(w)$$

# Implementation

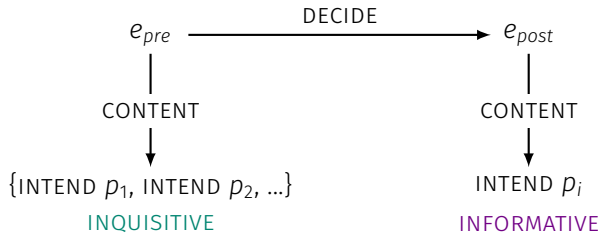


# Implementation





# Implementation



# Defining decision

Define DECISION to relate a pre-state and a post-state

(57)  $\text{DECISION}(e, e_{pre}, e_{post}) \equiv e$  is a decision with  
pre-state  $e_{pre}$  and post-state  $e_{post}$

Define constraint on inquisitive pre-state

(58)  $R_{pre}(e, p) = \neg \forall w \in \text{CON}(e) : p(w)$

Define constraint on informative post-state

(59)  $R_{post}(e, p) = \forall w \in \text{CON}(e) : p(w)$

## Defining lexical templates

As expected for a change-of-state verb

$$(60) \forall e, p : R_{pre}(e, p) \longleftrightarrow \neg R_{post}(e, p)$$

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- (61) a.  $\llbracket \text{decide}_{PROP} \rrbracket = R_{PROP}(\text{DECISION}) = (62\text{-a})$   
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When **decide** takes a declarative...

$$\llbracket \text{Jo decide}_{\text{PROP}} S \rrbracket = \exists e, e_{\text{pre}}, e_{\text{post}} : \text{DECISION}(e, e_{\text{pre}}, e_{\text{post}}) \wedge \text{AGENT}(j, e)$$

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## Remaining question

Where does the **intention** entailment come from?

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## Possible answer

Decision pre-states just **are** intentional states



## Evidence

Always(?) intention for infinitivals

(63) Jo {determined, decided, chose} whether to leave.

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Always(?) intention for infinitivals

(63) Jo {determined, decided, chose} whether to leave.

Otherwise dependent on content of finite complement

(64) a. Jo decided whether she would leave.

b. Jo decided whether Bo could leave.

## Remaining question

Where does the **intention** entailment come from?

## Possible answer

Decision pre-states just **are** intentional states

## Answer

Modality in the embedded clause (Bhatt, 1999; Grano, 2012; Wurmbrand, 2014; White, 2014)

## Question

Why would pre-state entailments be like veridicality entailments?

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## Relevant observation

Pre-state entailments are generally backgrounded (cf. **start**, **stop**)

(Roberts, 1996; Simons, 2001; Abusch, 2002; Simons et al., 2010; Abusch, 2010; Abrusán, 2011; Romoli, 2011; Anand and Hacquard, 2014)

# A generalization

## Tentative generalization

No monomorphemic verb characterizes a relation between an **informative** pre-state and an **inquisitive** post-state (\***undecide**)

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Suggests an asymmetry between **pre-states** and **post-states** that we don't currently encode



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Suggests an asymmetry between **pre-states** and **post-states** that we don't currently encode

## Suggestion

Whatever gives rise to pre-state backgrounding for other CoS predicates also gives rise to this asymmetry

## Direction 1

Reducing the relationship between veridicality and Q-agnosticism to a relationship between CoMS and Q-agnosticism

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## Direction 2

Explaining remaining nonveridicals in terms of event structure

## Observation

Many verbal veridicals besides the stative **know** are CoMS

remember, forget, discover, find out, figure out, realize, recognize, ...

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## Aggressive reduction

**Know** has a bipartite structure involving a knowledge state (fact contents) and a belief state (proposition contents) (Kratzer, 2002)

# Conclusion

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How are a verb's **semantic properties** related to its **syntactic distribution**? Gruber 1965; Fillmore 1970; Zwicky 1971; Jackendoff 1972; Grimshaw 1979, 1990; Pesetsky 1982, 1991; Pinker 1989; Levin 1993



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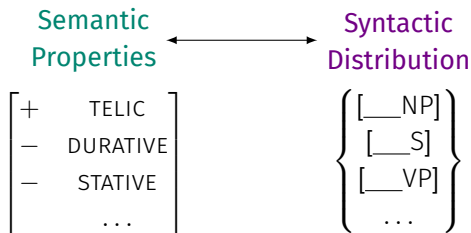
## Semantic Properties

+	TELIC
—	DURATIVE
—	STATIVE
	...

# Overarching question

How are a verb's **semantic properties** related to its **syntactic distribution**? Gruber 1965; Fillmore 1970; Zwicky 1971; Jackendoff 1972;

Grimshaw 1979, 1990; Pesetsky 1982, 1991; Pinker 1989; Levin 1993



# What could matter?

Factors claimed to affect the distribution of **nominals**

Sensitive to event structural properties like **stativity**, **telicity**, **durativity**, **causativity**, **transfer**, etc. (see Levin and Rappaport Hovav 2005)

# What could matter?

## Factors claimed to affect the distribution of **nominals**

Sensitive to event structural properties like **stativity**, **telicity**, **durativity**, **causativity**, **transfer**, etc. (see Levin and Rappaport Hovav 2005)

## Factors claimed to affect the distribution of **clauses**

Sensitive to 'content-dependent' properties like **representationality**, **preferentiality**, **factivity/veridicality**, **communicativity**, etc. Bolinger 1968; Hintikka 1975; Hooper 1975; Stalnaker 1984; Farkas 1985; Villalta 2000, 2008; Kratzer 2006; Egré 2008; Scheffler 2009; Moulton 2009; Anand and Hacquard 2013; Rawlins 2013; Portner and Rubinstein 2013; Anand and Hacquard 2014; Spector and Egré 2015; Bogal-Allbritten 2016; Theiler et al. 2017

# Overarching Hypothesis

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The **distribution of clauses** is determined by the **same semantic properties** as the **distribution of nouns** (cf. Koenig and Davis 2001)

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The **distribution of clauses** is determined by the **same semantic properties** as the **distribution of nouns** (cf. Koenig and Davis 2001)

**Not properties dependent on having propositional content**

(White and Rawlins, 2017, 2018)

# Overarching Hypothesis

## Hypothesis

The **distribution of clauses** is determined by the **same semantic properties** as the **distribution of nouns** (cf. Koenig and Davis 2001)

**Not properties dependent on having propositional content**

(White and Rawlins, 2017, 2018)

## Intuition

Predicates that take clauses characterize neo-Davidsonian eventualities, like any other verb. (Kratzer 2006; Hacquard 2006; Moulton 2009; Anand and Hacquard 2013, 2014; Rawlins 2013; Bogal-Allbritten 2016; White and Rawlins 2016b a.o.)

## Question

How direct is the relationship between **content-dependent properties** and **syntactic distribution**?



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## Focus

Two content-dependent properties – **factivity** and **veridicality** – that are argued to determine **selection of interrogatives & declaratives**

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Two content-dependent properties – **factivity** and **veridicality** – that are argued to determine **selection of interrogatives & declaratives**

## Claim

There is **no direct relationship** between **factivity** and **veridicality** (*qua* semantic properties) and **syntactic distribution**

## Question

How direct is the relationship between **content-dependent properties** and **syntactic distribution**?

## Focus

Two content-dependent properties – **factivity** and **veridicality** – that are argued to determine **selection of interrogatives & declaratives**

## Claim

There is **no direct relationship** between **factivity** and **veridicality** (*qua* semantic properties) and **syntactic distribution**

The relationship is mediated by **event structural properties**.

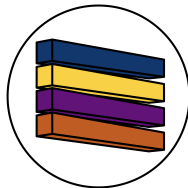
Thanks!

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Data available at



megaattitude.io decomp.io

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