Computational approaches to clause selection

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Slides available at aswhite.net



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Introduction

Structure of the domain

What types of things do predicates relate?

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S(emantic)-selection

Which predicates relate which types of things?

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S(emantic)-selection

Which predicates relate which types of things?

Projection rules

What is the mapping from those types to syntactic structures?

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Two challenges

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 ...distinguishing competing theories requires more data + methods for scaling distributional analysis to those data.

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Two challenges

As our theories of selection gain coverage of the lexicon...

- ...distinguishing competing theories requires more data + methods for scaling distributional analysis to those data.
- 2. ...they grow in complexity, requiring a learning account that is capable of acquiring this complexity from a corpus.

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Basic idea

1. Formalize S(emantic)-selection, projection rules, and lexical idiosyncrasy at Marr's (1982) computational level

A **computational method** for **scaling distributional analysis** that is agnostic about the form of the distribution.

Basic idea

- 1. Formalize S(emantic)-selection, projection rules, and lexical idiosyncrasy at Marr's (1982) computational level
- 2. Collect data on many verbs' syntactic distributions

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Basic idea

- 1. Formalize S(emantic)-selection, projection rules, and lexical idiosyncrasy at Marr's (1982) computational level
- 2. Collect data on many verbs' syntactic distributions
- Given syntactic distribution data, use computational techniques to automate inference of projection rules and verbs' semantic type, controlling for lexical idiosyncrasy

Focus

Syntactic distribution of \sim 1000 English clause-embedding verbs

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Question #1

What does the model infer about S-selection and projection, given syntactic distributions collected via acceptability judgments?

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What does the model infer about S-selection and projection, given syntactic distributions collected via acceptability judgments?

Question #2

How does the model's solution compare when given syntactic distributions collected from a corpus?

Idea (pprox poverty of the stimulus argument)

If **S-selection** for some type cannot be gleaned from a corpus, an otherwise learnable **semantic property** determines it.

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Methodological implication

We cannot rely on corpus distributions alone for determining selectional patterns.

Responsive predicates: take both interrogative and declaratives

- (1) a. John knows {that, whether} it's raining.
 - b. John told Mary {that, whether} it was raining.

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Do they take questions, propositions, or both? (Karttunen 1977, Groenendijk

& Stokhof 1984, Heim 1994, Ginzburg 1995, Lahiri 2002, George 2011, Rawlins 2013, Spector & Egré 2015, Uegaki 2015)

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Finding #1 (based on acceptability judgments)

Different answer for communicative and cognitive verbs.

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Different answer for communicative and cognitive verbs.

Finding #2 (based on comparison of acceptability) and corpus Only the cognitive verb pattern is evidenced in the corpora.

Outline

Introduction

- A model of S-selection & projection
- Acceptability dataset
 - Data collection
 - Model fitting and results
- Corpus Dataset
 - Data collection
 - Model fitting and results
- Conclusions and future directions

A model of S-selection & projection

Many verbs are syntactically multiplicitous

- (2) a. John knows {that, whether} it's raining.
 - b. John wants {it to rain, rain}.

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Syntactic multiplicity does not imply semantic multiplicity

- (3) a. John knows [what the answer is]_S.
 - b. John knows [the answer]_{NP}.

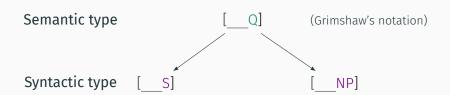
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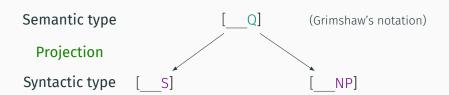
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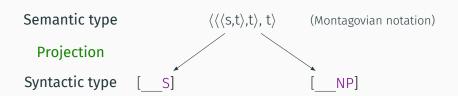
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- (3) a. John knows [what the answer is]_S.
 - b. John knows [the answer]_{NP}.

[((3b)]] = [[(3a)]] suggests it is possible for type([[NP]]) = type([[S]])
cf. Baker 1968, Heim 1979, Romero 2005, Nathan 2006, Frana 2010a, Aloni & Roelofsen 2011



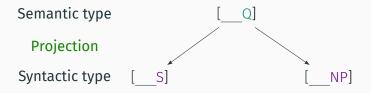




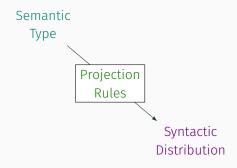
What do the projection rules look like?

How are a verb's semantic type signatures projected onto its syntactic type signatures (subcategorization frames)? (Gruber 1965,

Jackendoff 1972, Carter 1976, Grimshaw 1979, 1990, Chomsky 1981, Pesetsky 1982, 1991, Pinker 1984, 1989, Levin 1993)



A model of S-selection and projection



Lexical idiosyncrasy

Observed syntactic distributions are not a perfect reflection of semantic type + projection rules

Example

Some Q(uestion)-selecting verbs allow concealed questions...

- (4) a. Mary asked what time it was.
 - b. Mary asked the time.

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Example

Some Q(uestion)-selecting verbs allow concealed questions...

- (4) a. Mary asked what time it was.
 - b. Mary asked the time.

...others do not (Grimshaw 1979, Pesetsky 1982, 1991, Nathan 2006, Frana 2010b, a.o.)

- (5) a. Mary wondered what time it was.
 - b. *Mary wondered the time.

The additive approach (Grimshaw 1979)

Verbs are related to semantic type signatures (**S-selection**) and syntactic type signatures (**C-selection**)

S-selection \circ projection \lor C-selection = syntactic distribution

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Verbs are related to semantic type signatures (**S-selection**) and syntactic type signatures (**C-selection**)

S-selection \circ projection \lor C-selection = syntactic distribution

The multiplicative approach (Pesetsky 1982, 1991)

Verbs are related to semantic type signatures (**S-selection**); **C-selection** is an epiphenomenon of verbs' abstract case

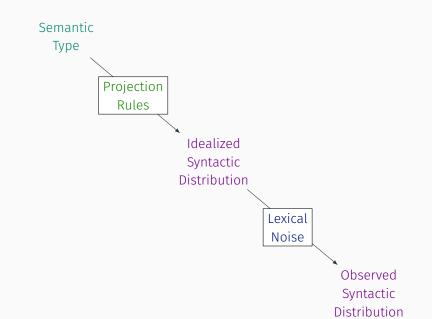
S-selection \circ projection \wedge case = syntactic distribution

Shared core see White & Rawlins 2016 for formal details

Lexical noise—i.e. lexical idiosyncrasy—alters idealized syntactic distributions

S-selection \circ projection \otimes noise = syntactic distribution

A model of S-selection and projection



How do we represent each object in the model?

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A minimalistic answer

Every object is a matrix of boolean values

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Strategy

1. Give model in terms of sets and functions

How do we represent each object in the model?

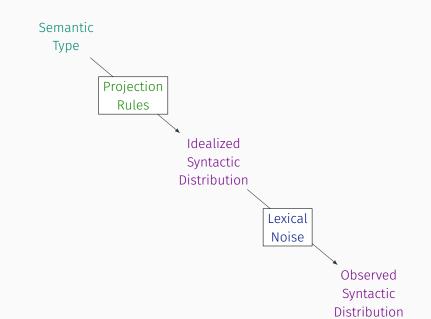
A minimalistic answer

Every object is a matrix of boolean values

Strategy

- 1. Give model in terms of sets and functions
- 2. Convert this model into a boolean matrix model

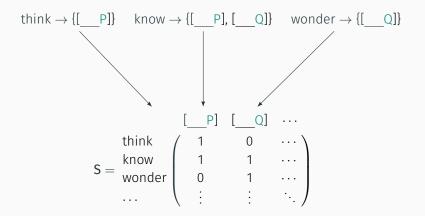
A model of S-selection and projection



$\mathsf{know} \to \{[__P], [__Q]\}$

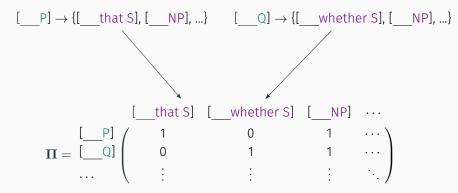
$know \rightarrow \{[__P], [__Q]\} \quad wonder \rightarrow \{[__Q]\}$

$think \rightarrow \{[__P]\} \quad know \rightarrow \{[__P], [__Q]\} \quad wonder \rightarrow \{[__Q]\}$



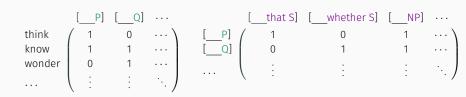
$[_P] \rightarrow \{[_that S], [_NP], ...\} \qquad [_Q] \rightarrow \{[_whether S], [_NP], ...\}$

A boolean model of projection

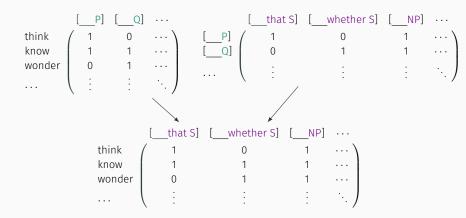


 $\hat{D}(VERB, SYNTYPE) = \bigvee_{t \in SEMTYPES} S(VERB, t) \land \Pi(t, SYNTYPE)$

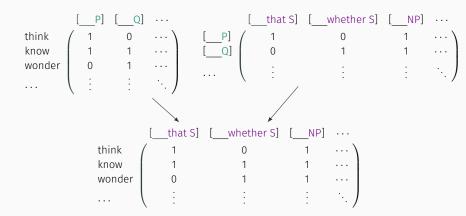
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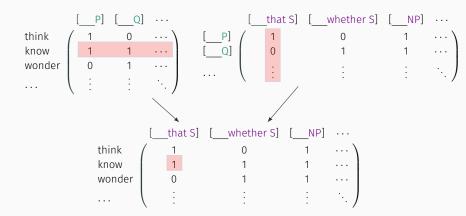
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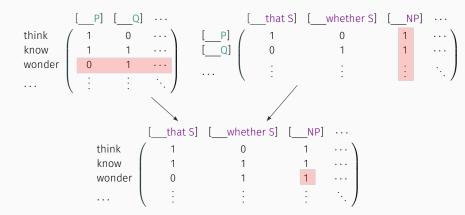
 $\hat{D}(\text{know}, [__that S]) = \bigvee_{t \in \{[P], [Q], ...\}} S(\text{know}, t) \land \Pi(t, [__that S])$



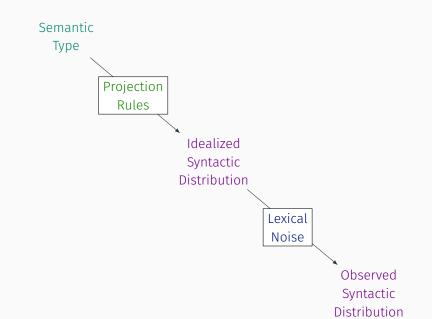
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 $\hat{D}(wonder, [__NP]) = \bigvee_{t \in \{[_P], [_Q], ...\}} S(wonder, t) \land \Pi(t, [__NP])$



A model of S-selection and projection

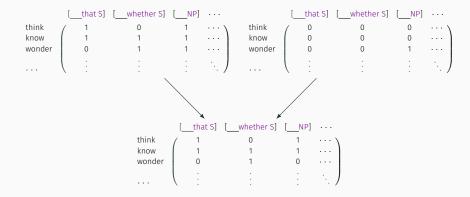


 $\forall t \in SYNTYPE : D(wonder, t) = \hat{D}(wonder, t) \otimes N(wonder, t)$

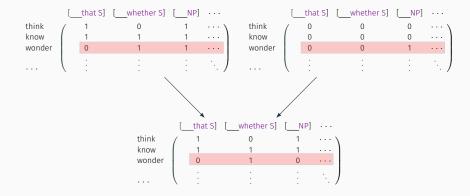
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wonder		0	1	1		wonder	0	0	1	
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 $\forall t \in SYNTYPE : \mathbf{D}(wonder, t) = \hat{\mathbf{D}}(wonder, t) \otimes \mathbf{N}(wonder, t)$



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What is this model useful for?

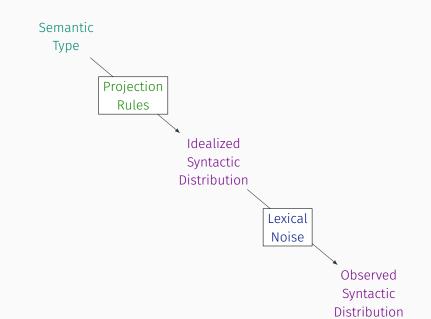
Answer

In conjunction with modern computational techniques, this model allow us to scale distributional analysis to an entire lexicon

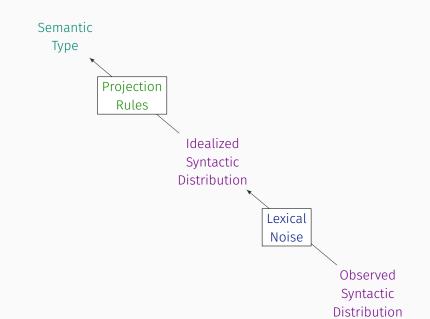
Basic idea

Distributional analysis corresponds to reversing model arrows

A model of S-selection and projection



A model of S-selection and projection



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Acceptability dataset

Data available at megaattitude.com

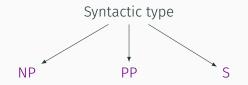
Ordinal (1-7 scale) acceptability ratings

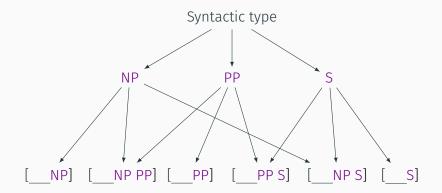
Ordinal (1-7 scale) acceptability ratings for 1000 clause-embedding verbs

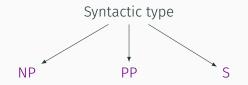
reassure alert alert question redo trust advise signal stress wager bet inform ask probe phone agonize prompt reaffirm affirm specify indicate panic dictate dispute worry threaten determine press lecture tease remind believe clarify admit whisper delight deligh delight attempt

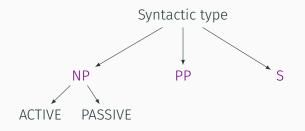
Ordinal (1-7 scale) acceptability ratings for 1000 clause-embedding verbs × 50 syntactic frames

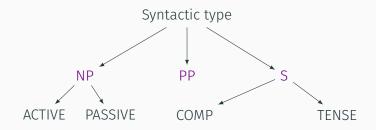
Automate construction of a very large set of frames in a way that is sufficiently general to many verbs

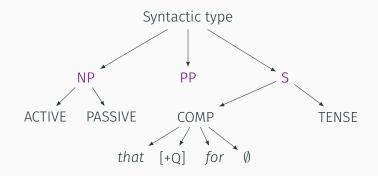


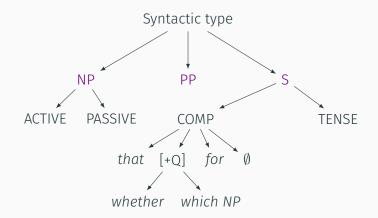


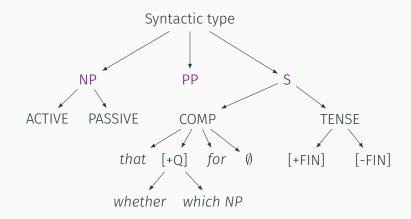


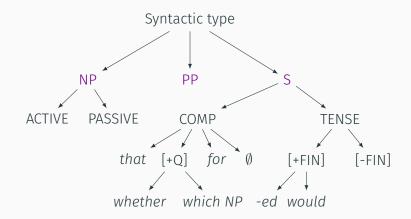


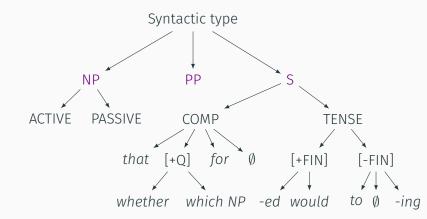












Automate construction of a very large set of frames in a way that is sufficiently general to many verbs

Solution

Construct semantically bleached frames using indefinites

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- (6) Examples of responsives
 - a. know + NP V {that, whether} S
 Someone knew {that, whether} something happened.

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- 5 judgments per item
 - No annotator sees the same sentence more than once

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Reward: \$0.00 per HIT HITs Available: 20 Duration: 14 weeks 2 days

Turktools (Erlewine & Kotek 2015)

Sentence Acceptability Task (expert annotation) Requester: JHU Semantics Lab

Interannotator agreement

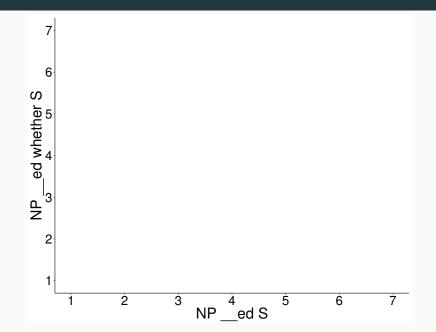
Spearman rank correlation calculated by list on a pilot 30 verbs

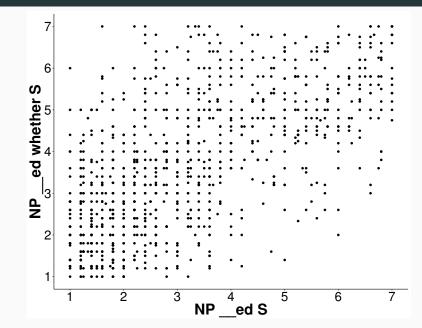
Pilot verb selection

Same verbs used by White (2015), White et al. (2015), selected based on Hacquard & Wellwood's (2012) attitude verb classification

- 1. Linguist-to-linguist median: 0.70, 95% CI: [0.62, 0.78]
- 2. Linguist-to-annotator median: 0.55, 95% CI: [0.52, 0.58]

3. Annotator-to-annotator median: 0.56, 95% CI: [0.53, 0.59]





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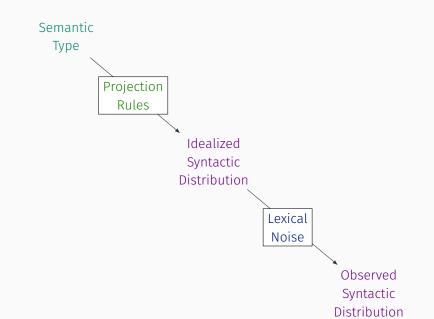
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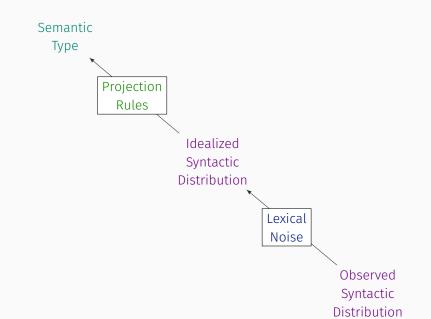
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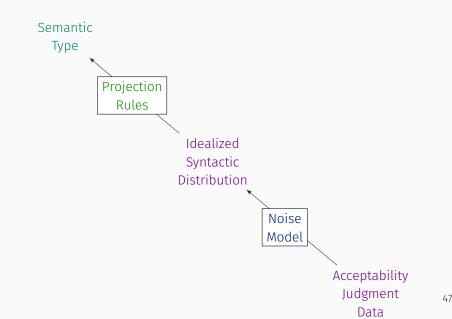
A model of S-selection and projection



A model of S-selection and projection



A model of S-selection and projection



Goal

Find representations of verbs' semantic type signatures and projection rules that best explain the acceptability judgments

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Challenges

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- 2. Finding the best boolean model fails to capture uncertainty inherent in judgment data

Solution

Search probability distributions over verbs' semantic type signatures and projection rules

Solution

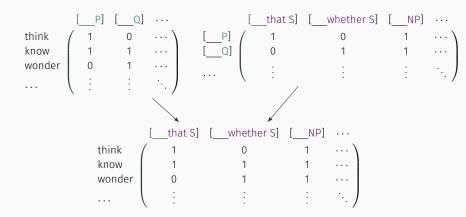
Search probability distributions over verbs' semantic type signatures and projection rules

Going probabilistic

Wrap boolean expressions in probability measures

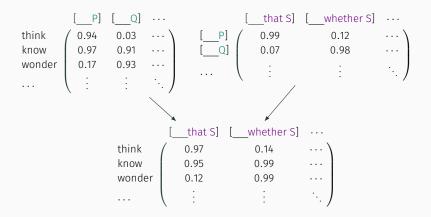
A boolean model of idealized syntactic distribution

 $\hat{D}(VERB, SYNTYPE) = \bigvee_{t \in SEMTYPES} S(VERB, t) \land \Pi(t, SYNTYPE)$



A boolean model of idealized syntactic distribution

$$\hat{D}(\text{know}, [__\text{that S}]) = 1 - \prod_{t \in \{[_P], [_Q], ...\}} 1 - S(\text{know}, t) \times \Pi(t, [__\text{that S}])$$



 $\mathbb{P}(\mathsf{S}[\mathsf{VERB}, t] \land \mathbf{\Pi}[t, \mathsf{SYNTYPE}]) = \mathbb{P}(\mathsf{S}[\mathsf{VERB}, t])\mathbb{P}(\mathbf{\Pi}[t, \mathsf{SYNTYPE}] \mid \mathsf{S}[\mathsf{VERB}, t])$ $= \mathbb{P}(S[VERB, t])\mathbb{P}(\Pi[t, SYNTYPE])$ $\mathbb{P}\left(\bigvee_{t} \mathsf{S}[\mathsf{VERB}, t] \land \mathbf{\Pi}[t, \mathsf{SYNTYPE}]\right) = \mathbb{P}\left(\neg \bigwedge_{t} \neg(\mathsf{S}[\mathsf{VERB}, t] \land \mathbf{\Pi}[t, \mathsf{SYNTYPE}])\right)$ $= 1 - \mathbb{P}\left(\bigwedge_{t} \neg(\mathsf{S}[\mathsf{VERB}, t] \land \mathbf{\Pi}[t, \mathsf{SYNTYPE}])\right)$ $= 1 - \prod \mathbb{P}\left(\neg(\mathsf{S}[\mathsf{VERB}, t] \land \mathbf{\Pi}[t, \mathsf{SYNTYPE}])\right)$ $= 1 - \prod 1 - \mathbb{P}(\mathsf{S}[\mathsf{VERB}, t] \land \mathbf{\Pi}[t, \mathsf{SYNTYPE}])$ $= 1 - \prod [1 - \mathbb{P}(S[VERB, t])\mathbb{P}(\Pi[t, SYNTYPE])]$

Standard model for acceptability judgments: cumulative link logit mixed effects model (Agresti 2014)

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Algorithm

Adam optimizer (basically, fancy gradient descent) (Kingma & Ba 2014)

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Don't know the number of type signatures T

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Standard solution

Fit the model with many type signatures and compare using an information criterion, e.g., the Akaike Information Criterion (AIC)

High-level idea

Measures the information theoretic "distance" to the true model from the best model with *T* types signatures (Akaike 1974)

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Reporting findings

Best model with 12 type signatures

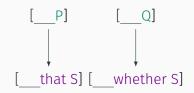
Three findings

1. Cognitive predicates

1.1 Two distinct type signatures [___P] and [___Q]

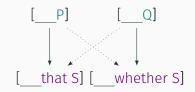
Findings

[___P] [___Q]



Three findings

- 1. Cognitive predicates
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 - 1.2 Coercion of [____P] to [____Q] and [____Q] to [____P]

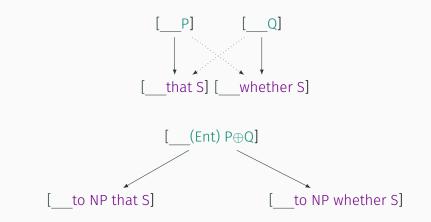


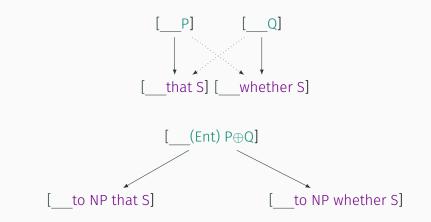
Three findings

- 1. Cognitive predicates
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 - 1.2 Coercion of [____P] to [____Q] and [____Q] to [____P]

2. Communicative predicates

2.1 Two unified type signatures $[_(Ent) P \oplus Q]$ (optional recipient) and $[_Ent P \oplus Q]$ (obligatory recipient)





Question

What do I mean by $P \oplus Q$?

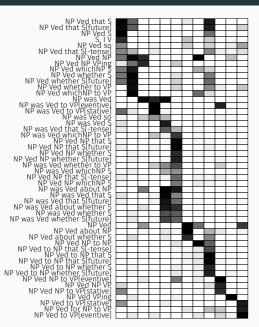
Example

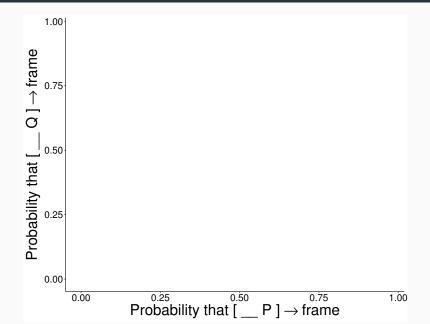
Structures with both informative and inquisitive content $\ensuremath{_{\text{(Groenendijk})}}$

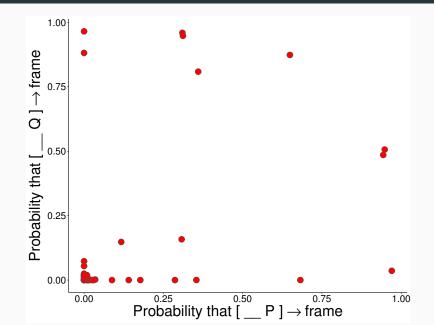
& Roelofsen 2009, a.o.)

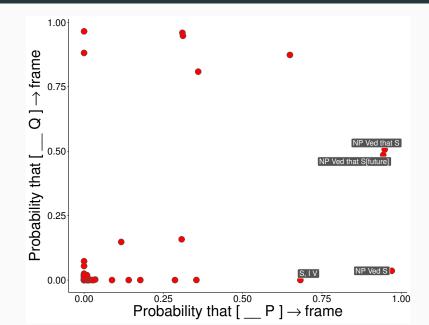
- S-selectional behavior of responsive predicates on some accounts (Uegaki 2012; Rawlins 2013)
- Some attitudes whose content is a hybrid Lewisian (1988) subject matter (Rawlins 2013 on think v. think about)

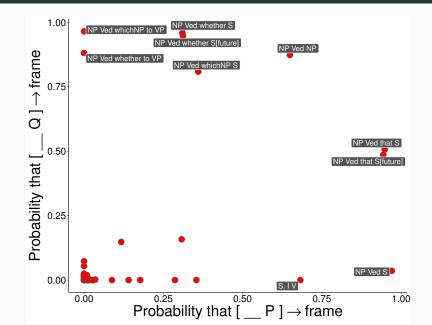
Projection

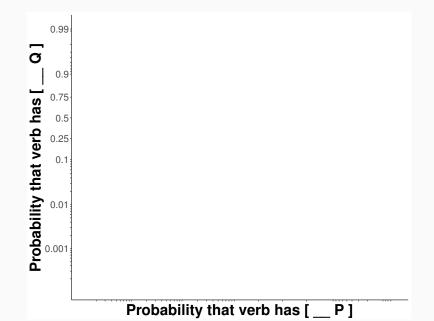


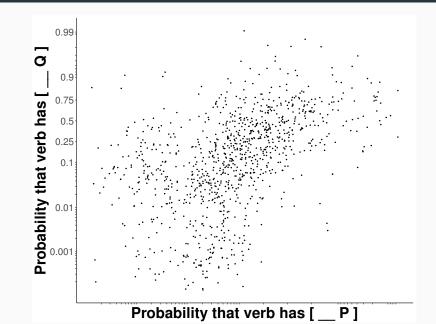


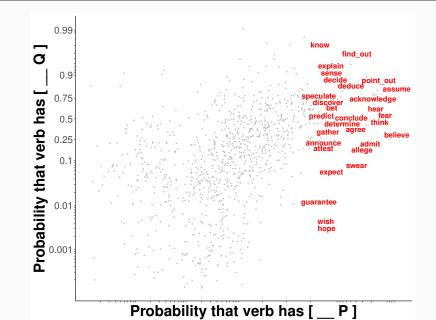


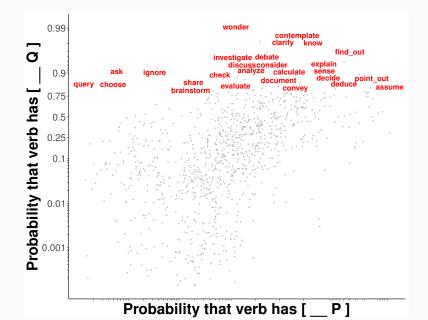


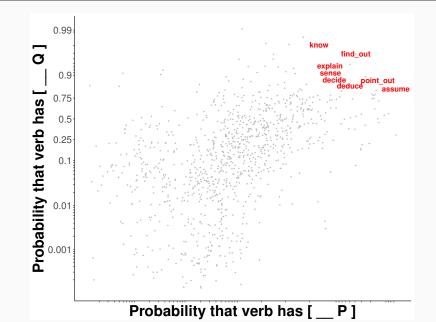


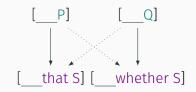




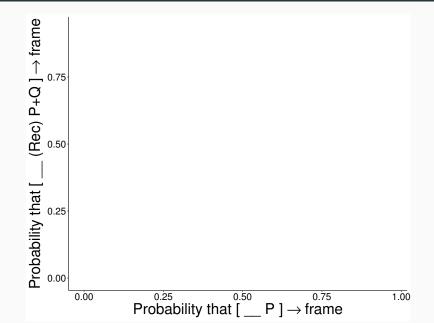




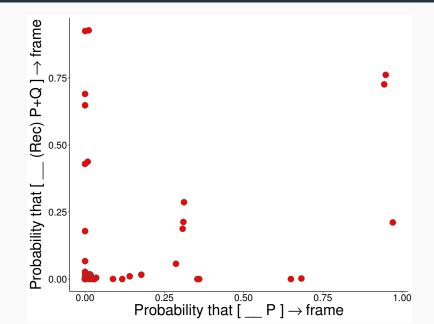




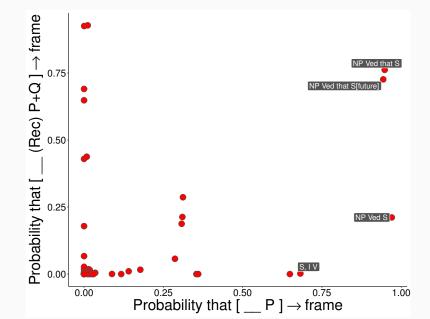
Projection: optional recipients



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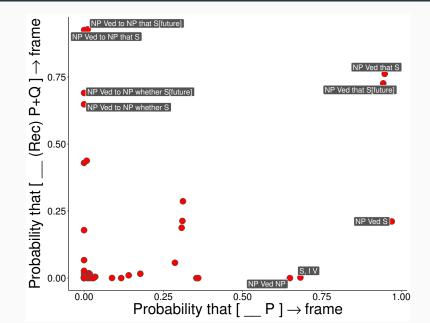


Projection: optional recipients

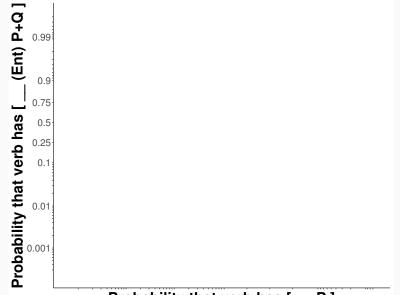


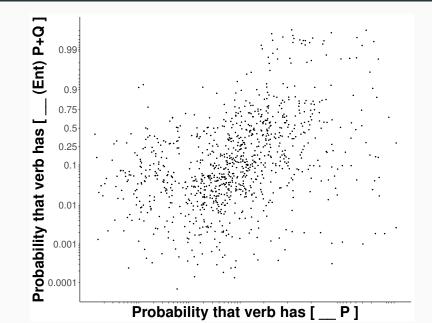
74

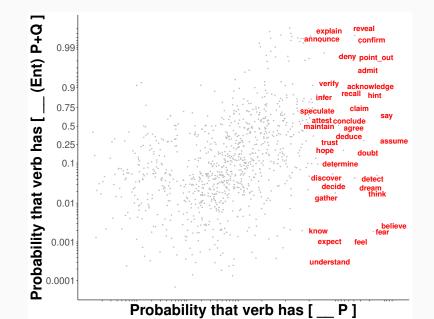
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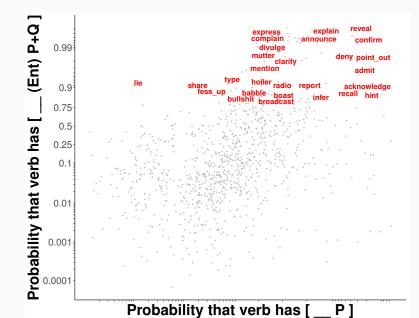


75

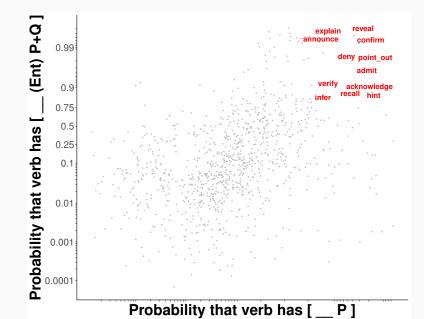


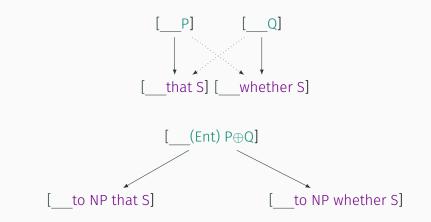


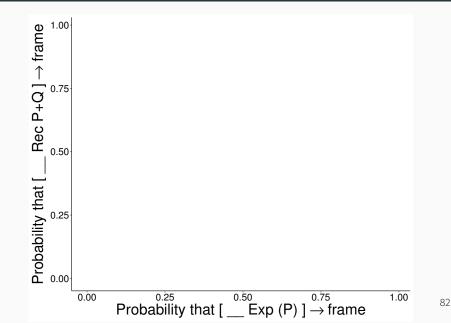


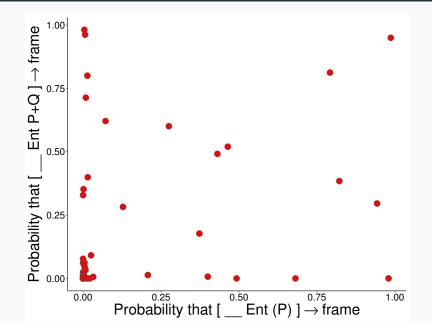


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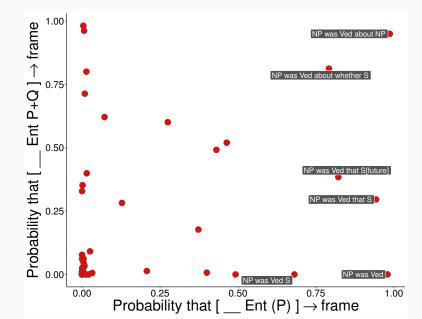


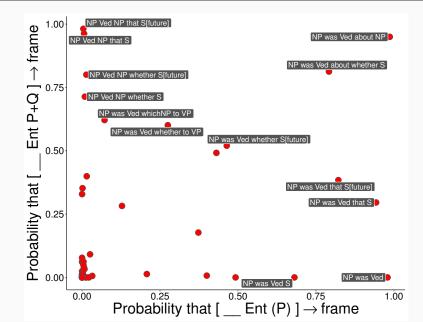




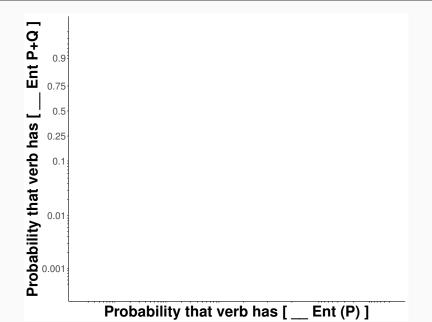


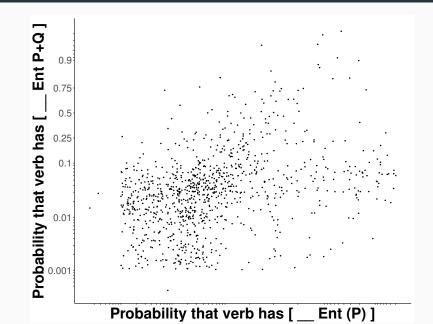
83

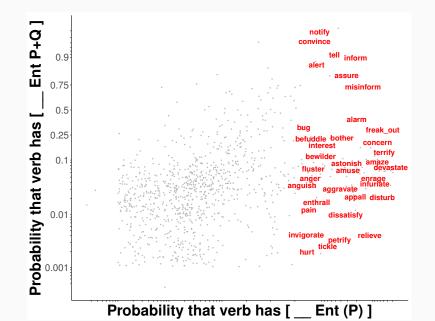


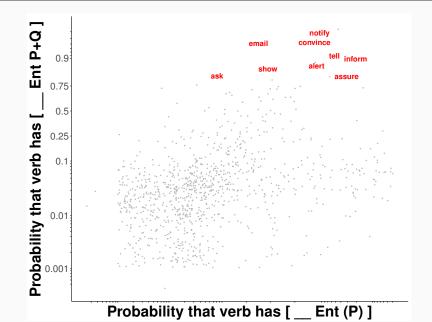


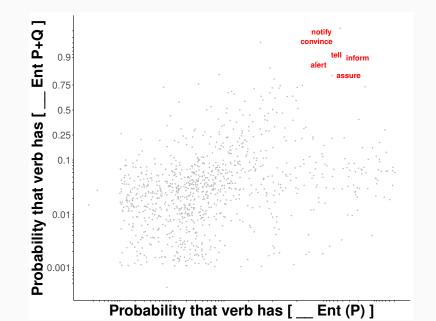
85

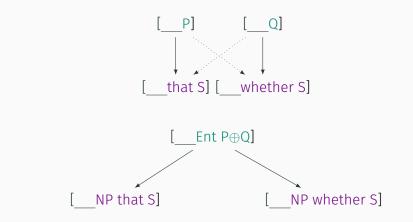












What to conclude

Proposition and question types live alongside hybrid types, and the presence of a hybrid type correlates with communicativity

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Proposition and question types live alongside hybrid types, and the presence of a hybrid type correlates with communicativity

What to exclude

Accounts that reduce (or unify) declarative and interrogative selection solely to S-selection of a single type + coercion

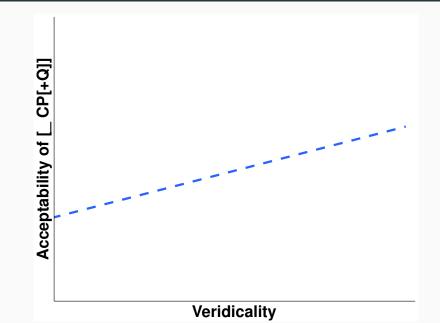
Is there anything to say about whether selection for P, Q, or $P \oplus Q$ is reducible to lexical semantics?

Interim discussion

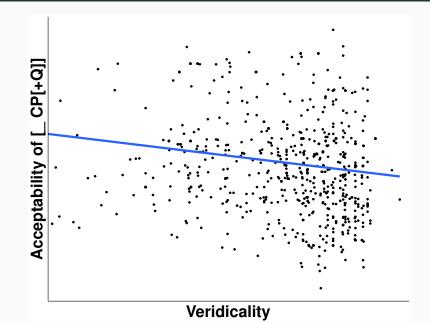
Acceptability of [_ CP[+Q]]

Veridicality

Interim discussion



Interim discussion



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White & Rawlins's (2017) claim

It's all about the event structure of the predicate.

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White & Rawlins's (2017) claim

It's all about the event structure of the predicate.

Today's strategy

Do we find the same type signatures when fitting the model to corpus data?

Corpus Dataset

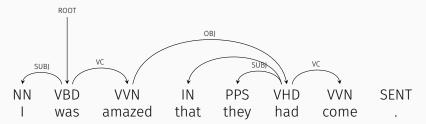
42.8 million verb-subcategorization frame pairs extracted from Parsed ukWaC (PukWaC) (Baroni et al. 2009)

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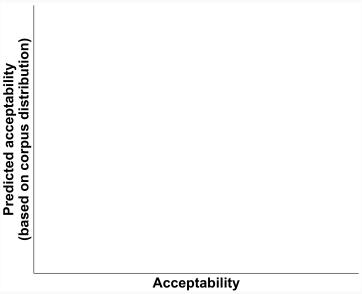
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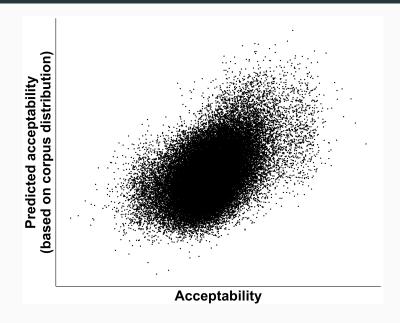
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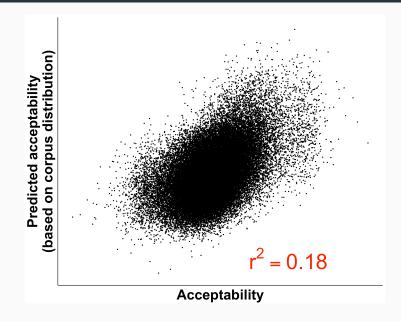
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 - 5.4 ...tense/aspect for the embedded verb (and all auxiliaries)



Acceptability v. PukWaC corpus counts



Acceptability v. PukWaC corpus counts



Question

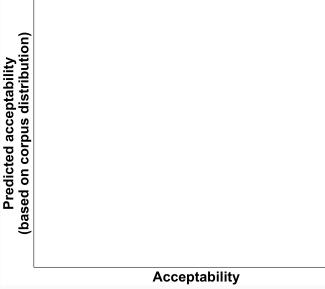
Is this r^2 good enough?

Question

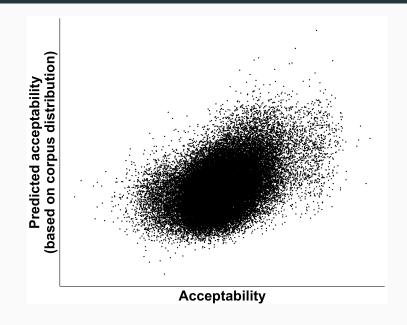
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Non-answer

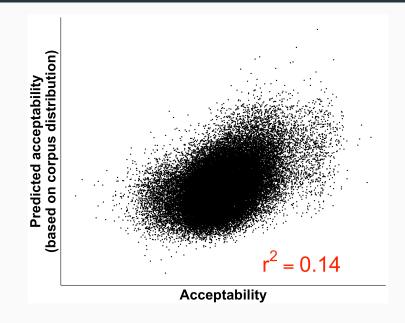
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Acceptability v. VALEX corpus counts



Acceptability v. VALEX corpus counts



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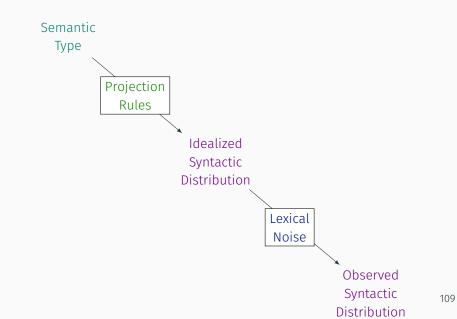
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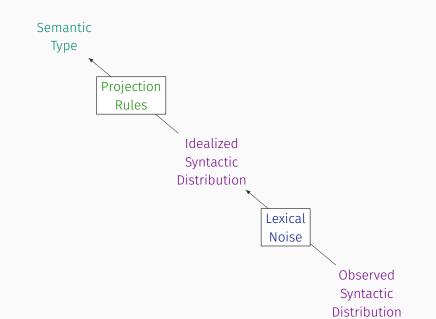
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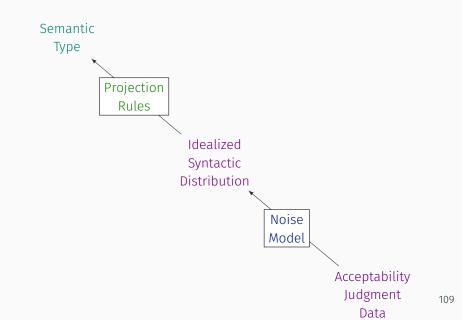
Possible answer

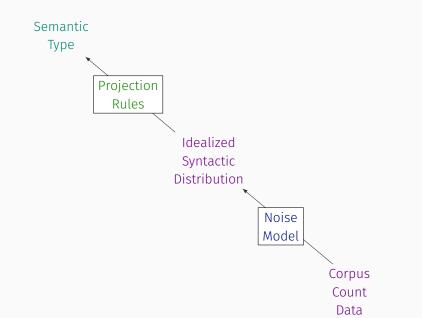
Maybe if the noise model is set up correctly.





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109

Core model

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Noise model

Negative binomial mixed effects model (Church & Gale 1995, Gelman et al. 2013)

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Fit the model with many type signatures and compare using an information criterion, e.g., the Akaike Information Criterion (AIC)

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Result

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Reporting findings

Compare count model with 24 type signatures to acceptability model with 12

Question

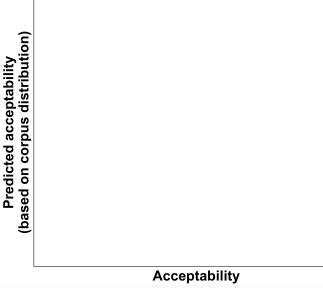
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Non-answer

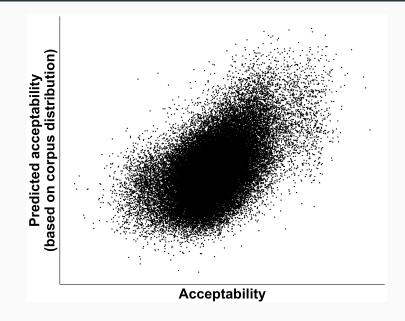
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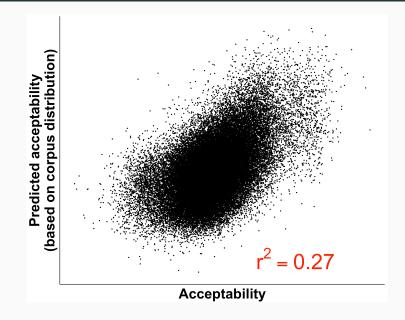
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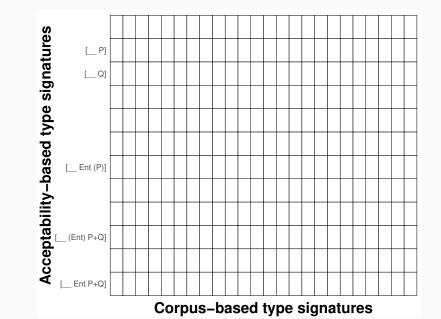


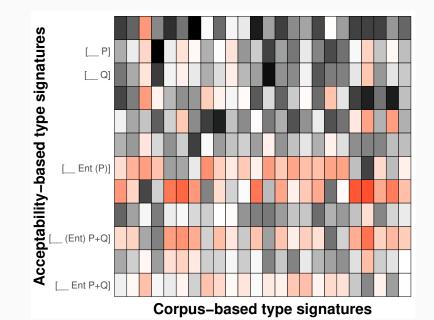
Acceptability v. VALEX corpus counts



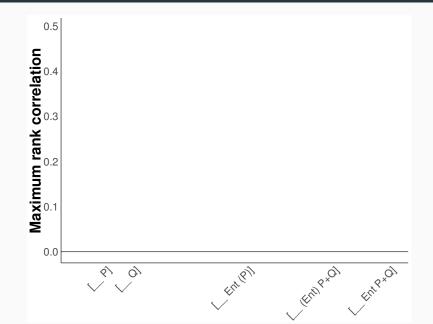
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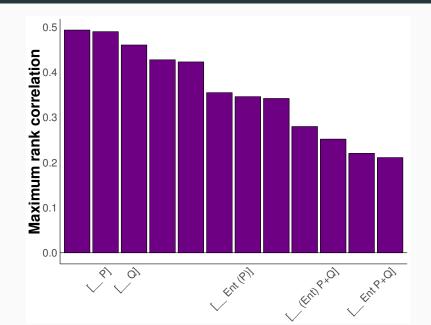






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Question

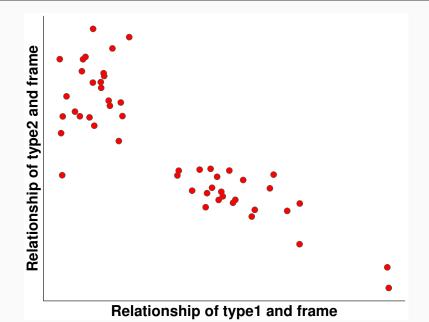
What do the closest corpus type signatures to [__Ent $P \oplus Q$] and [__(Ent) $P \oplus Q$] look like?

Recipients in the corpus type signatures

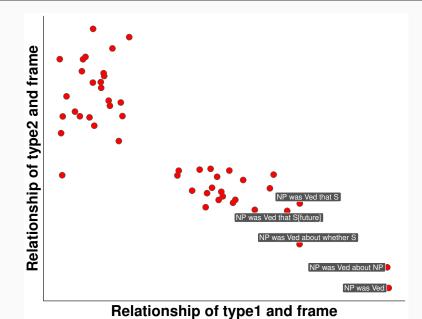
Relationship of type2 and frame

Relationship of type1 and frame

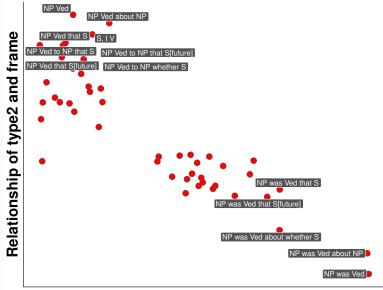
Recipients in the corpus type signatures



Recipients in the corpus type signatures



Recipients in the corpus type signatures



Relationship of type1 and frame

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Shared type signatures

[___P] and [___Q] show up as separate type signatures in both the acceptability solution and the corpus solution

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Differing type signatures

 $[__Ent \ P \oplus Q]$ and $[__(Ent) \ P \oplus Q]$ only show up in the acceptability solution

Why would the communicative type signatures not be found in the corpus?

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Potential answer

The corpus data is enough to tell that the predicate is communicative, but you need to know that communicatives take $\mathsf{P}\oplus\mathsf{Q}$

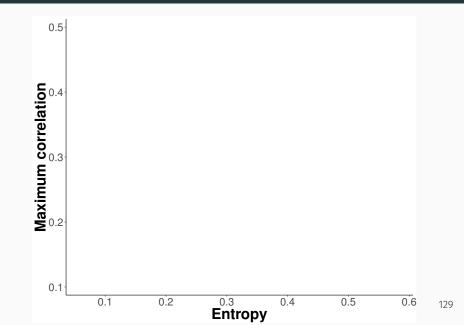
What about the other 18 type signatures?

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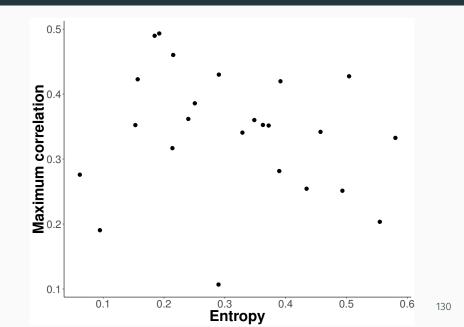
Potential answer

These tend to be junk, but we may be able to filter them out by looking at how uncertain the model is that particular verbs take that type signature overall (measured using entropy).

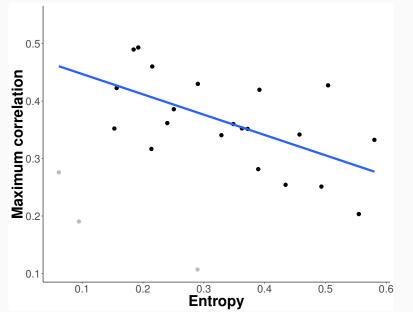
Interim discussion



Interim discussion



Interim discussion



Conclusions and future directions

Structure of the domain

What types of things do predicates relate?

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S(emantic)-selection

Which predicates relate which types of things?

Structure of the domain

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S(emantic)-selection

Which predicates relate which types of things?

Projection rules

What is the mapping from those types to syntactic structures?

Main contribution

A **computational method** for **scaling distributional analysis** that is agnostic about the form of the distribution.

Conclusion

Case study

Responsive predicates: take both interrogative and declaratives

- (7) a. John knows {that, whether} it's raining.
 - b. John told Mary {that, whether} it was raining.

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Finding #1

Cognitives take separate P and Q types, while communicatives take a hybrid $P \oplus Q$ type.

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Finding #1

Cognitives take separate P and Q types, while communicatives take a hybrid $P \oplus Q$ type.

Finding #2

Only the cognitive types are replicated when looking at a corpus (though apparent communicative types still show up).

Further investigation of type signatures

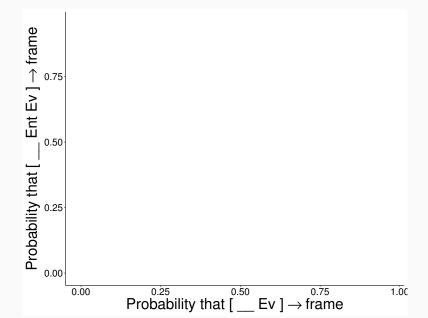
Seven other type signatures that are also remarkably coherent

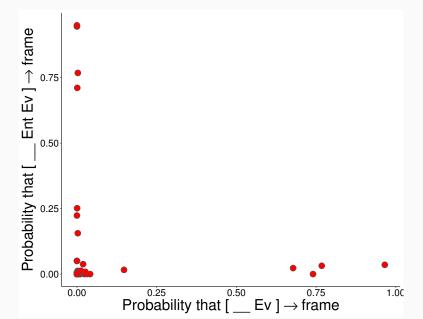
Further investigation of type signatures

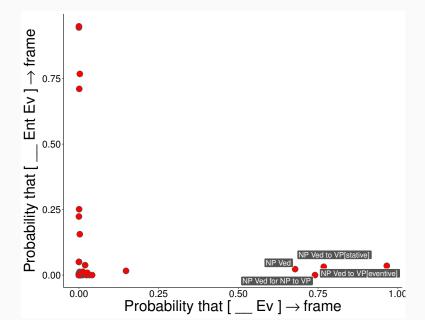
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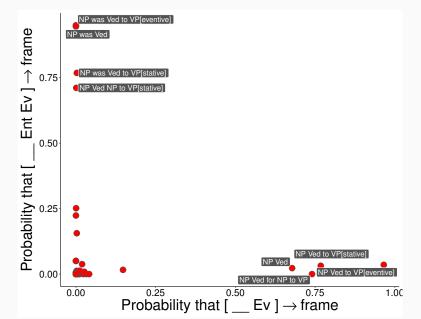
Example

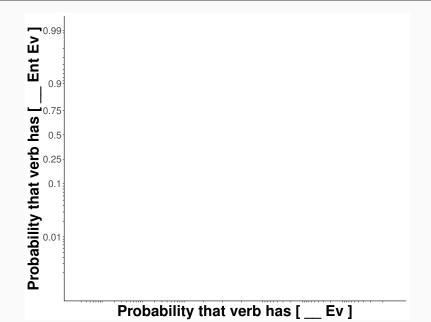
Many nonfinite-taking verbs

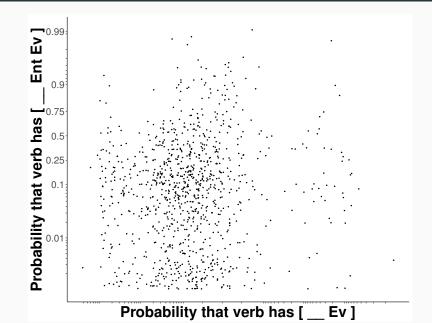


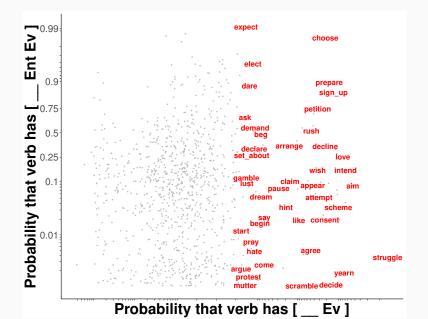


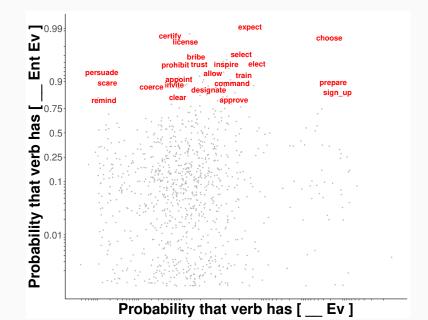


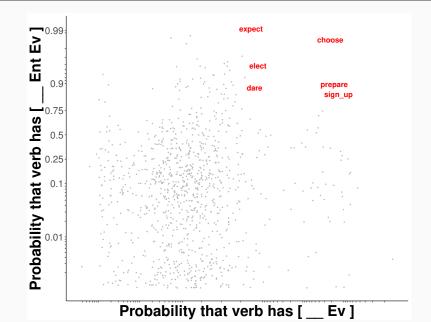












Atomic v. structured type signatures

Currently treating type signatures as atomic but type signatures have rich structure

Idea

Build a model that represents mappings from...

- 1. ...verbs to the primitive types they relate
- 2. ...type signatures to the primitive types they are constituted of
- 3. ...primitive types to the syntactic constituents they map to

Homophony v. regular polysemy v. underspecification

Patterns in how semantic type signatures distribute across verbs may belie regular polysemy rules.

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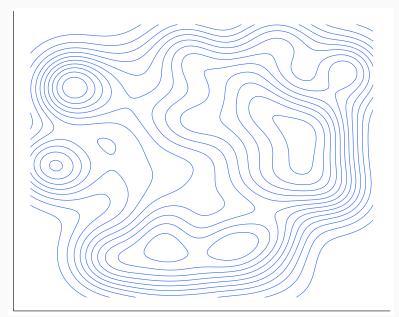
Idea

Polysemous verbs are those that fall outside dense regions of type signature space.

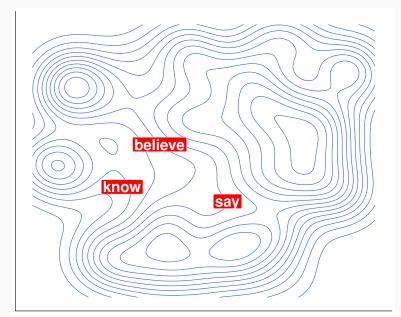
Finding polysemous verbs



Finding polysemous verbs



Finding polysemous verbs



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Homophony v. regular polysemy v. underspecification

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Idea

Polysemous verbs are those that fall outside dense regions of type signature space.

Question

Can we learn rules of regular polysemy using an elaborated version of the model proposed here?

I am grateful to audiences at Johns Hopkins University, SALT 26, and ESSLLI 2017 for discussion of this work. I would like to thank Ben Van Durme, Shevaun Lewis, and Dee Reisinger in particular for useful comments.

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Some of the broader ideas also developed with...



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University of Maryland Department of Linguistics



Jeff Lidz

University of Maryland Department of Linguistics Agresti, Alan. 2014. *Categorical Data Analysis*. John Wiley & Sons. Akaike, Hirotugu. 1974. A new look at the statistical model identification. *IEEE Transactions on Automatic Control* 19(6). 716–723.

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